



**Arkansas Economic Development Commission
Arkansas Development Finance Authority
Arkansas Department of Human Services
Arkansas Department of Health**

2021 ANNUAL UPDATE TO THE CONSOLIDATED PLAN

Effective July 1, 2021 – June 30, 2022

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**2021 Annual Action Plan
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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The 2021 Annual Action Plan (AAP) is the second annual plan and update to the State of Arkansas 2020-2024 Consolidated Plan (Consolidated Plan), a five-year plan (2020-2024) addressing the State's housing and community development needs. Federal resources provided by the United States Department of Housing and Urban Development (HUD) that are discussed within the Plan include: Community Development Block Grant (CDBG); the HOME Investment Partnerships Program (HOME); Emergency Solutions Grant Program (ESG); Housing Opportunities for Persons with AIDS Program (HOPWA); and National Housing Trust Fund (NHTF, also known as HTF).

HUD Standard Form 424 (Application for Federal Assistance) is submitted as part of the plan to request federal funding for the State of Arkansas.

The CDBG Program is administered through the Arkansas Economic Development Commission (AEDC) and AEDC is the lead agency responsible for coordinating the development of the Consolidated Plan. The HOME program and NHTF are administered through the Arkansas Development Finance Authority (ADFA), the ESG program is administered by the Arkansas Department of Human Services, Office of Community Services (DHS), and the HOPWA program is administered by the Arkansas Department of Health, Division of Health (ADH). ADFA, DHS, and ADH work in conjunction with AEDC in developing the Consolidated Plan.

The HOME funds received by ADFA for the State exclude the entitlement cities Fort Smith, Little Rock, North Little Rock, and Pine Bluff, as these cities are responsible for distribution and administration of their own HOME award. HOPWA funds received by ADH for the state exclude the entitlement city of Little Rock, who is responsible for their own HOPWA award. Allocations are based on a formula. The formula is determined using a minimum funding amount for all regions, population base, poverty data, and pro-rata percent. The formal and funding process emphasizes equitable distribution as well as quality projects and programs.

The Arkansas 2021 Annual Action Plan affirms the goals and objectives of Title I of the Housing and Community Development Act of 1974, as amended, and the National Affordable Housing Act of 1990, as amended, which relate to major commitments and priorities of the four agencies. This complex set of goals can be compressed into three main areas that include:

- **Provide Decent Housing**
- **Provide a Suitable Living Environment**
- **Expand Economic Opportunity**

The state views this mandate as an opportunity to extend and strengthen partnerships among organizations in the public, private, and nonprofit sectors. Through this collaboration, AEDC, ADFA, DHS, and ADH hope to streamline approaches to meet these goals and effectively use limited resources. Arkansas anticipates receiving the following amounts in 2021.

CDBG: \$18,858,821
HOME: \$10,125,629
ESG: \$2,261,347
HOPWA: \$1,030,952
NHTF: \$4,123,109

Covering all areas of the State, except the cities of Conway, Fayetteville, Fort Smith, Hot Springs, Jacksonville, Jonesboro, Little Rock, North Little Rock, Pine Bluff, Rogers, Springdale, Texarkana, and West Memphis, the Annual Action Plan identifies a one-year action plan for program implementation. As CDBG Entitlement Areas, the cities listed previously receive funds for these programs directly and are required to prepare and submit their own Annual Action Plans.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis, or the strategic plan.

The State of Arkansas identified five priorities, which summarize the goals of the five-year Consolidated Plan.

The main priorities and objectives within the 2021 AAP include:

- Housing Priority Need
- Community Development Priority Need
- Economic Development Priority Need
- Homeless Services Priority Need
- HOPWA Services Priority Need

Through the development of the Consolidated Plan it was determined that there were three overarching objectives guiding the proposed activities that include:

- Provide Decent Housing
- Provide a Suitable Living Environment
- Expand Economic Opportunity

Outcomes were developed to show how programs and activities would benefit a community or the persons within a community served. The three outcomes that will illustrate the benefits of each activity funded by the CDBG, HOME, ESG, HOPWA, or NHTF programs are:

- Improved availability/accessibility
- Improved affordability
- Improved sustainability

The future activities funded within the next year will support at least one objective and one outcome as described above. The statutes for CDBG, HOME, ESG, HOPWA, and NHTF covered by the Consolidated Plan Rule include a number of basic goals discussed in the Housing and Community Development Act, as amended, and the National Affordable Housing Act, as amended. The framework for realizing these objectives and outcomes will be associated with the specific priorities, as noted above.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The State of Arkansas has been successful in its efforts to address the priority needs identified in the 2020-2024 Consolidated Plan. The state has worked hard to further the primary national CDBG objective of developing viable urban communities by providing decent housing, suitable living environments, and expanding economic opportunities, principally for low- and moderate-income persons (LMI). The projects selected by the state in FY 2021 address the priority needs identified in the state's 2020-2024 Consolidated Plan.

Specific details about past performance by the state on priorities covered by the 2020-2024 Consolidated Plan and strategies contained in Annual Action Plans are available through the state's Annual Performance reports that are submitted to HUD each year. The 2019 Consolidated Annual Performance and Evaluation Report was submitted to HUD on December 22, 2020 and is the most recent report submitted. The Report contains information regarding significant achievements in meeting the needs of Arkansans.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

Summary from citizen participation section of plan.

The State of Arkansas conducted one public hearing on housing and community development issues in to allow citizens the opportunity to provide comments and input for the 2021 Annual Action Plan. The meeting, held virtually, included presentations which focused on housing and community development strategies to address housing and community development needs throughout the state.

Prior to the meeting, notices were published in newspapers throughout the state and emails were sent to local officials, economic developers, non-profit organizations, Planning & Economic Development Districts, and Continuum of Care's throughout the state, as well as other interested parties.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

The State of Arkansas conducted one virtual public hearing on April 15, 2021, to provide the public an opportunity to review the draft of the Annual Action Plan, and to receive oral comments. Nineteen people were in attendance representing all areas of community and economic development, housing, and homeless needs areas.

There were no comments made during the public hearing or received during the 30-day public comment period.

6. Summary of comments or views not accepted and the reasons for not accepting them

N/A

7. Summary

Minutes from public hearing are included in Appendix.

PR-05 Lead & Responsible Agencies - 91.300(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	ARKANSAS	Arkansas Economic Development Commission
HOPWA Administrator	ARKANSAS	Arkansas Department of Health
HOME Administrator	ARKANSAS	Arkansas Development Finance Authority
ESG Administrator	ARKANSAS	Arkansas Department of Human Services
NHTF Administrator	ARKANSAS	Arkansas Development Finance Authority

Table 1 – Responsible Agencies

Narrative

The Annual Action Plan was developed in partnership between the four responsible agencies listed above. The amounts represented in the 2019 Annual Action Plan include those awarded by HUD for the Community Development Block Grant Program (CDBG), administered by the Arkansas Economic Development Commission (AEDC); HOME Investment Partnership Program (HOME) and the National Housing Trust Fund (NHTF, also known as HTF), administered by the Arkansas Development Finance Authority (ADFA); Emergency Solutions Grants Program (ESG), administered by the Arkansas Department of Human Services (DHS); and Housing Opportunities for Persons with AIDS Program (HOPWA), administered by the Arkansas Department of Health (ADH).

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AP-10 Consultation - 91.110, 91.300(b); 91.315(l)

1. Introduction

The State of Arkansas works with a wide variety of agencies, organizations, and service providers to bring various viewpoints to bear in the identification of local housing and service needs. Ongoing relationships focused on specific needs and targeted meetings designed to bring public input into the Consolidated Plan process are two of the ways that the state utilizes outside organizations in the consultation process.

Provide a concise summary of the state's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies

The state has ongoing relationships with many housing providers working on housing development activities. The state also works with the many public housing authorities across the state to utilize Section 8 vouchers from the federal government to address the housing needs of the state's lowest income households. Through the Continuum of Care (CoC) processes throughout the state, the State of Arkansas maintains relationships with mental health providers, homeless shelter and services providers, and local governmental agencies with specific responsibilities for homeless individuals and families. The state also participates in a variety of other coalitions that seek to address other issues that relate to housing and service needs.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness

State staff works actively with the six Continuum of Care (CoC) organizations in the state. Staff participates in regularly scheduled meetings. In the past, the state has provided administrative support to supplement CoC initiatives and distributed Emergency Solutions Grant (ESG) funding to the various agencies that make up the membership of the CoC organizations.

Describe consultation with the Continuum(s) of Care that serves the State in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

The State of Arkansas supports a variety of housing and public service programs operated to service the homeless and special needs population. The efforts of the homeless coalitions, through the CoC process, have provided guidance on the needs of these communities. The process has resulted in a better understanding of the homeless and special needs community and their housing needs, enabling the

state to arrive at a set of goals and objectives. As required by ESG regulations, DHS meets annually with the CoC chairpersons to discuss the topics mentioned above. Results of those discussions are incorporated into the ESG Program.

2. Agencies, groups, organizations and others who participated in the process and consultations

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	ARKANSAS ECONOMIC DEVELOPMENT COMMISSION
	Agency/Group/Organization Type	Other government - State Grantee Department
	What section of the Plan was addressed by Consultation?	All sections
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Plan Coordinator, Advisory Board, other Agency Departments also consulted on plan, including Community Developers, Economic Developers, and Rural Services Division
2	Agency/Group/Organization	ARKANSAS DEVELOPMENT FINANCE AUTHORITY
	Agency/Group/Organization Type	Other government - State Grantee Department
	What section of the Plan was addressed by Consultation?	All sections
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Consolidated Plan Board Member.
3	Agency/Group/Organization	ARKANSAS DEPARTMENT OF HUMAN SERVICES
	Agency/Group/Organization Type	Other government - State Grantee Department
	What section of the Plan was addressed by Consultation?	All sections
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Consolidated Plan Board Member.

4	Agency/Group/Organization	ARKANSAS DEPARTMENT OF HEALTH
	Agency/Group/Organization Type	Other government - State Grantee Department
	What section of the Plan was addressed by Consultation?	All sections
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Consolidated Plan Board Member.

Identify any Agency Types not consulted and provide rationale for not consulting

No specific organizations were intentionally left out of the public participation process.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Balance of State Continuum of Care	The Strategic Plan provides a set of goals for addressing homelessness, with are supported by the Balance of State Continuum of Care and its participating agencies.

Table 3 - Other local / regional / federal planning efforts

Narrative

N/A

AP-12 Participation - 91.115, 91.300(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

Citizen participation is an essential component of a statewide planning effort. Arkansas strongly encourages public participation throughout the consolidated planning process.

A virtual public hearing was conducted:

- April 15, 2021: 10:30 AM – 12:00 PM.

A news release, announcing the public hearing and public comment period was sent to statewide media and notices were sent to approximately 1,500 contacts including: ADFA, DHS, ADH; Public Housing Authorities; City and County officials; Continuum of Care contacts; Arkansas Municipal League; and CDBG Grant Administrators.

A public notice was placed in the statewide newspaper, Arkansas Democrat-Gazette. The draft plan was featured in two locations on the AEDC website. AEDC made additional efforts to increase non-traditional public participation in addition to notification methods used in the past and will continue to develop additional innovative public awareness strategies.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Hearing	Non-targeted/broad community	The State of Arkansas conducted one virtual public hearing on April 15, to provide the public an opportunity to review the draft of the Annual Action Plan, and to receive oral comments. There were at least 19 people in attendance during the meeting. Minutes from the hearing are included in the Appendix.	No comments were received.	N/A	www.arkansasedc.com/grants

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Newspaper Ad	Non-targeted/broad community	A newspaper ad was published in the Arkansas Democrat-Gazette announcing the public hearing and 30-day public comment period. A copy of the ad will be included in the Appendix.	No comments were received via this mode of outreach.	N/A	www.arkansasedc.com/grants
3	Internet Outreach	Non-targeted/broad community	All notices, public hearing information, and drafts were posted on the Arkansas Economic Development Commission website, on the homepage of the Grants Division. Other means of outreach included press releases, social media, and email contacts.	No comments were received via this mode of outreach.	N/A	www.arkansasedc.com/grants

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
4	Press Release	Non-targeted/broad community	A press release was issued to the network of all statewide newspapers announcing the 30-day public comment period and public hearing where oral comments would be received, and availability of the draft of the plan.	No comments were received via this mode of outreach.	N/A	www.arkansasedc.com/grants

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.320(c)(1,2)

Introduction

The State of Arkansas receives funding from the Community Development Block Grant Program (CDBG), HOME Investment Partnership (HOME), Housing Opportunities for People With AIDS (HOPWA), Emergency Solutions Grant (ESG), and National Housing Trust Fund (HTF). These grant programs will bring over \$35 million into the State to support affordable housing, homeless, and community development programs and projects in the first program year including program income received.

Arkansas anticipates receiving the following amounts in 2021.

CDBG - \$18,858,821
HOME - \$10,125,629
ESG - \$2,261,347
HOPWA - \$1,030,952
HTF - \$4,123,109

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	18,858,821	2,000,000	0	20,858,821	62,576,463	Expected amount for remainder of Con Plan equals the Year 2 annual allocation times three. CDBG resources are provided to units of local government for CDBG-eligible activities. A portion of resources is reserved for state administration and technical assistance funds that may not exceed 3% of the total base allocation plus \$100,000 as per 24 CFR 570.489(a). State recipients and sub-recipients may also receive administration funds. Additional funds will be provided by AEDC as a match to the CDBG allocation. This amount will fulfill the dollar for dollar matching requirement of the CDBG Program.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	10,125,629	9,419,607	0	19,545,236	58,635,708	Expected amount for year 2 of the 5-Year Con Plan annual allocation. The expected amount available for the remainder of ConPlan is estimated to be the Year 2 annual allocation times three.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	1,030,952	0	0	1,030,952	3,092,856	Expected amount for year 2 of the 5-Year Con Plan annual allocation. The expected amount available for the remainder of Con Plan is estimated to be the Year 2 annual allocation times three. (Federal allocation for Year 2 (GY 2021) of the 5-Year ConPlan is \$1,030,952).

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	2,261,347	0	300,000	2,561,347	7,684,041	Expected amount for year 2 of the 5-Year Con Plan annual allocation. The expected amount available for the remainder of Con Plan is estimated to be the Year 2 annual allocation times three.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HTF	public - federal	Acquisition Admin and Planning Homebuyer assistance Multifamily rental new construction Multifamily rental rehab New construction for ownership	4,123,109	0	5,024,439	9,147,548	27,147,548	Expected amount for year 2 of the 5-Year Con Plan annual allocation. The expected amount available for the remainder of ConPlan is estimated to be the Year 2 annual allocation times three.

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state, and local funds), including a description of how matching requirements will be satisfied

Federal funds will be leveraged through the ability of service organizations to raise program funds through outside sources, other loan sources needed for housing development activities, the present value of forgiven property taxes for properties owned by non-profit housing providers, and the State's General Fund, where appropriate.

CDBG funds will be leveraged with other local resources within the General Assistance, Economic Development and Rural Services set asides. CDBG resources are provided to units of local government for CDBG-eligible activities. A portion of resources is reserved for state administration and technical assistance funds that may not exceed 3% of the total base allocation plus \$100,000 as per 24 CFR 570.489(a). State recipients and

sub-recipients may also receive administration funds for project delivery costs. Additional funds will be provided by AEDC as match to the CDBG allocation. This amount will fulfill the dollar for dollar matching requirement of the CDBG Program.

ESG provides funding to: Engage homeless individual and families living on the street; improve number and quality of emergency shelters for homeless individuals and families; help operate these shelters; provide essential services to shelter residents; rapidly rehouse homeless individuals and families; and prevent families and individuals from becoming homeless.

ESG funds may be used for five components, street outreach, emergency shelter, homeless prevention, rapid re-housing assistance, and HMIS as well as administrative activities (up to 7.5% of a recipient's allocation can be used for administrative activities).

ESG funds may also be used during pandemic circumstances. This will be in accordance with the guidance provided at that time.

DHS is required to provide a 100% match for the ESG grant. The requirement is passed on to sub-grant recipients and monitored through the submission of a Match Certification Form with each monthly sub-grant invoice. The first \$100,000 of the State's fiscal year grant is not required to be matched, and the state may pass this exception through to sub-grant recipients who are least capable of providing matching contributions.

If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

None currently identified. The State will continue to evaluate opportunities to use public lands for future development.

Discussion

The state has programmed more than \$36 million from the CDBG, HOME, HOPWA, ESG, and NHTF programs for the 2021 program year. These funds will be used to operate a range of private and public services as described later in the Annual Action Plan.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives – 91.320(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Admin	2020	2024	Administration	Statewide	Program Administration	CDBG: \$665,764 HOPWA: \$103,095 HOME: \$1,012,563 ESG: \$169,601 HTF: \$412,311	Other: 1 Other
2	Eco Dev	2020	2024	Non-Housing Community Development	Statewide	Employment Training Industry and Job Creating	CDBG: \$9,052,234	Jobs created/retained: 250 Jobs
3	Fac/ Infra	2020	2024	Non-Housing Community Development	CDBG Eligible Areas	Infrastructure	CDBG: \$8,140,823	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 5000 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	Fire Prot	2020	2024	Non-Housing Community Development	CDBG Eligible Areas	Fire Equipment	CDBG: \$1,000,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 3000 Persons Assisted
5	Purc Asst	2020	2024	Affordable Housing	Statewide	Housing Assistance - Homeownership	HOME: \$1,012,563	Direct Financial Assistance to Homebuyers: 265 Households Assisted
6	CHDO	2020	2024	Affordable Housing	Statewide	Housing Development and Reconstruction	HOME: \$1,518,844	Homeowner Housing Added: 15 Household Housing Unit
7	TBRA	2020	2024	Affordable Housing	Statewide	Rental Housing Subsidies	HOME: \$1,012,563	Tenant-based rental assistance / Rapid Rehousing: 250 Households Assisted
8	Rent Hsg	2020	2024	Affordable Housing	Statewide	Housing Development and Reconstruction	HOME: \$3,037,689	Rental units rehabilitated: 30 Household Housing Unit
9	Rent Rehab	2020	2024	Affordable Housing	Statewide	Housing Repair	HOME: \$3,037,689	Rental units rehabilitated: 30 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
10	Prev	2020	2024	Homeless	Statewide	Homeless Prevention and Emergency Assistance	ESG: \$1,062,833	Homeless Person Overnight Shelter: 1000 Persons Assisted Homelessness Prevention: 1000 Persons Assisted
11	Shel	2020	2024	Homeless	Statewide	Homeless Facilities Homeless Prevention and Emergency Assistance	ESG: \$1,017,606	Homeless Person Overnight Shelter: 1000 Persons Assisted Homelessness Prevention: 1000 Persons Assisted
12	HOPWA Hsg Asst	2020	2024	Non-Homeless Special Needs	Statewide	Homeless Prevention and Emergency Assistance Rental Housing Subsidies	HOPWA: \$649,500	Tenant-based rental assistance / Rapid Rehousing: 200 Households Assisted
13	HOPWA Sup Svs	2020	2024	Non-Homeless Special Needs	Statewide	Homeless Case Management Mental Health and Supportive Services	HOPWA: \$278,357	Public service activities other than Low/Moderate Income Housing Benefit: 150 Persons Assisted
14	Perm Hsg	2020	2024	Homeless	Statewide	Homeless Prevention and Emergency Assistance Rental Housing Subsidies	HTF: \$3,710,798	Rental units constructed: 30 Household Housing Unit

Table 6 – Goals Summary

Annual Action Plan
2021

Goal Descriptions

1	Goal Name	Admin
	Goal Description	Program Administration: Develop, Administer, revise, implement and evaluate the day-to-day operation of entitlement program. Activities include program design; grant administration, Sub recipient compliance monitoring, program outreach, public relations and training.
2	Goal Name	Eco Dev
	Goal Description	Improve economic opportunities through activities aimed at improving the conditions needed for successful business and economic development.
3	Goal Name	Fac/ Infra
	Goal Description	Provide enhancement to public facilities and infrastructure by improving availability, accessibility and affordability of public facilities, and public infrastructure.
4	Goal Name	Fire Prot
	Goal Description	Provide improvements to community centers and improve access to affordable fire protection and enhanced fire protection equipment.
5	Goal Name	Purc Asst
	Goal Description	Create decent housing with improved affordability by providing funding for homebuyer assistance programs such as down payment and closing cost to low and moderate-income (less than 80 percent area median income) homebuyers
6	Goal Name	CHDO
	Goal Description	Provide funding to CHDO organization to develop new affordable housing for low-moderate income persons for homeownership, and providing construction financing for single-family housing, financial mechanisms and improved communication with partners and constituents throughout the state.

7	Goal Name	TBRA
	Goal Description	Create decent housing through improved affordability and accessibility by providing rental subsidies and security and utility deposit assistance for very low- to moderate-income (less than 60 percent of area median income) households and persons with disabilities.
8	Goal Name	Rent Hsg
	Goal Description	Create decent housing with improved affordability and accessibility of multi-family housing and other rental housing through the construction of affordable rental housing units.
9	Goal Name	Rent Rehab
	Goal Description	Preserve decent housing through improved sustainability and affordability of existing multi-family housing and other rental housing stock through rehabilitation or reconstruction projects for low and moderate-income (less than 80 percent of area median income) households.
10	Goal Name	Prev
	Goal Description	Increase the availability and affordability of and supportive services offered to homeless families and individuals by providing Emergency Solutions Grant (ESG) funds to projects that focus on the prevention of homelessness, such as averting eviction, foreclosure, and /or utility disconnection, as well as paying deposits and first month's rent.
11	Goal Name	Shel
	Goal Description	Improve the availability and affordability of shelters and supportive services offered to homeless families and individuals by providing ESG funds to assist shelters and homeless providers to finance their operating cost.
12	Goal Name	HOPWA Hsg Asst
	Goal Description	Goal Description Provide tenant-based rental assistance (TBRA); short-term rent, mortgage, and utility assistance (STRMU); rapid emergency housing under facility-based rental assistance (FBRA) and Permanent Housing Placement (PHP) Assistance.

13	Goal Name	HOPWA Sup Svs
	Goal Description	Enhance a suitable living environment through improved access to affordable health and local housing services through improvements of referrals to local available health units and local public housing and supportive social services.
14	Goal Name	Perm Hsg
	Goal Description	National Housing Trust Funds (HTF) are used to provide and improve housing conditions for extremely low-income (ELI) households in Arkansas.

AP-25 Allocation Priorities – 91.320(d)

Introduction:

Funding allocation priorities are detailed below by funding source. The HOME funding total reflects \$1 million of HOME Program Income that has not yet been allocated.

Funding Allocation Priorities

	Admin (%)	Eco Dev (%)	Fac/ Infra (%)	Fire Prot (%)	Purc Asst (%)	CHDO (%)	TBRA (%)	Rent Hsg (%)	Rent Rehab (%)	Prev (%)	Shel (%)	HOPWA Hsg Asst (%)	HOPWA Sup Svs (%)	Perm Hsg (%)	Total (%)
CDBG	3	48	43	6	0	0	0	0	0	0	0	0	0	0	100
HOME	10	0	0	0	10	15	10	30	25	0	0	0	0	0	100
HOPWA	10	0	0	0	0	0	0	0	0	0	0	63	27	0	100
ESG	8	0	0	0	0	0	0	0	0	47	45	0	0	0	100
HTF	10	0	0	0	0	0	0	90	0	0	0	0	0	0	100

Table 7 – Funding Allocation Priorities

Reason for Allocation Priorities

The allocation priorities reflect input from participants in the forums and survey, staff consideration of past allocations, and needs as determined through the Needs Assessment.

How will the proposed distribution of funds will address the priority needs and specific objectives described in the Consolidated Plan?

The distribution of funds is directly correlated to the specific objectives described in the Consolidated Plan. Each goal of the Strategic Plan is addressed in the funding distribution, as are many, though not all, of the priority needs.

AP-30 Methods of Distribution – 91.320(d)&(k)

Introduction:

CDBG funds will be used for several activities authorized in Section 105(a) of the amended 1974 Housing and Community Development Act that meet national CDBG objectives. Projects are funded in order to meet at least one of three CDBG National Objectives that include: (1) benefit low- and moderate-income persons; (2) prevent or eliminate slums or blight; and (3) meet urgent community development needs. The State annually certifies that not less than 70% of the aggregate funds received during a 3-year period (as identified to HUD) shall be used for activities benefitting low and moderate income (LMI) persons.

CDBG funds are not specifically targeted to areas of minority concentration but are distributed throughout the state's non-entitlement areas targeted for activities that serve LMI persons. Since projects funded with CDBG resources typically provide a community-wide benefit, it can be assumed that minority groups benefit equally in the same proportion as they occur in the general population.

The State Programs set forth within this Method of Distribution of the current CDBG allocation attempt to balance the need for providing jobs and suitable places to live. Funds are reserved in each category based on a percent of the total funds available for distribution to local governments. The State is allowed \$100,000 plus 3% of the State grant for administration and technical assistance in accordance with HUD regulations. The State is also allowed 3% of the program income received by units of general local government (whether retained by the unit of general local government or paid to the State) and funds reallocated by HUD to the State.

To achieve the most effective and efficient use of CDBG funds, AEDC may, at its discretion, use categorical adjustments. A categorical adjustment allows AEDC to reserve up to 25% of the total CDBG allocation for use as needed among categories. An adjustment of more than 25%, or \$4,714,705, of the total allocation, or the creation or elimination of a category, will be considered a substantial amendment to the Annual Action Plan and would require further steps as identified within the Citizen Participation Plan section of the Consolidated Plan. Adjustments may be made for remaining balances within a Category within the last 3 months following a 12-month Program Year in order to meet the HUD Timely Distribution requirement which requires the CDBG grant amount for a given Federal Fiscal Year to be distributed within a HUD required 15-month time frame.

For purposes of the Consolidated Plan and the Annual Action Plan, the overall CDBG Program includes activities within the Community

Development Priority Need, and the Economic Development Priority Need.

Funds will be distributed to eligible applicants for specific Community Development or Economic Development projects. All funding considerations will be made according to reasonableness of cost and assurance that the grant amount represents the smallest amount of financing needed to cover the gap between reasonable project costs and local funding capacity.

The state certifies that benefit to low- and moderate-income persons for the three-year periods beginning in 2020 (2020-2022, 2023-2025) will be equal to or greater than 70% and that no project claiming benefit to low- and moderate-income persons will be approved if the benefit calculated is less than 51%.

A description and details of each State Program will be identified below.

Distribution Methods

Table 8 - Distribution Methods by State Program

1	State Program Name:	Community Development - General Assistance
	Funding Sources:	CDBG
	Describe the state program addressed by the Method of Distribution.	<p>Within the Public and Community Facilities, and Infrastructure Priority Need, CDBG funds will be utilized for public infrastructure and public facility projects. Within this Program, CDBG funds will be utilized for and will generally be made available under the LMI Area Benefit or LMI Limited Clientele or Presumed Benefit National Objective measures. Funds are awarded for: residential water and wastewater; senior centers; child care centers; public health facilities; youth centers; accredited public libraries; removal of architectural barriers from city halls or county courthouses for accessibility; street, curb, gutter, sidewalk, or storm sewer; flood control and drainage; and, other public facility or infrastructure project determined to be a high priority, and not eligible to be funded under another CDBG program. For more information see Application Guidelines, posted online at http://arkansasedc.com/grants</p>

<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>The Method of Distribution section of the Application Guidelines contains specific information regarding the scoring criteria for each Program. A summary of the scoring criteria is noted within each Program’s Selection Criteria Matrix. For General Assistance applications, Section 2, Application Forms and Instructions, Part III: Project Description and Scoring Criteria, provides details on scoring and the points assigned to all scoring criteria.</p> <p>Program Guidelines and Application include a Scoring Criteria Matrix for the General Assistance Program. The Matrix describes each selection criteria as a numerical score within the General Assistance Program. The maximum number of points available within any application is 100 points.</p> <p>The Matrix below describes each selection criteria as a numerical score within the General Assistance Program. The maximum number of points available within any application is 100 points. All selection criteria will be scored in five-point increments and shall be scored on a scale.</p>
<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>As noted above within the description of the criteria used to select applications, this information was a summary of the criteria. Complete information regarding the application criteria will be made available within the CDBG Application Guidelines. The Guidelines will provide specific details on the Application questions, deadlines for completing applications, threshold requirements, and any other necessary items. This information will be available on the AEDC website at: http://www.arkansasedc.com/grants</p>

<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>N/A</p>
<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>N/A</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>For the 2021 Program Year (PY), AEDC is considering funding public infrastructure and public facility projects with an anticipated \$7,640,823.00 in CDBG resources. The award amount is based on beneficiary data of the project area as well as the feasibility and need for the project.</p>

	Describe threshold factors and grant size limits.	Grant requests should range from a minimum of \$75,000.00 to a maximum of \$1,000,000.00 for specific types of projects, including design fees, although maximum awards may be based on project type, as designed upon release of program application package. Administrative fees will be added to the announced award amount. Additional project awards may be made throughout the year from the existing pool of applications as funds become available, including from unexpended funds from prior funding years, if eligible under that funding year’s annual action plan. Technical assistance will be available.
	What are the outcome measures expected as a result of the method of distribution?	For water and wastewater funding, AEDC will be able to identify those areas that meet a CDBG National Objective and target those projects that are impacting the safety of our citizens. Through a collaborative effort with other funding agencies, AEDC is better able to leverage federal and state grant resources in order to obtain maximum impact. An estimated 7,000 people will benefit from these public infrastructure and public facility projects.
2	State Program Name:	Community Development - Rural Services
	Funding Sources:	CDBG
	Describe the state program addressed by the Method of Distribution.	Within the Public and Community Facilities and Fire Equipment Priority Need, CDBG funds will be utilized for community center, fire station, multi-purpose center, and fire truck and fire-fighting equipment projects. CDBG funds will be utilized for and made available under the LMI-Area Benefit National Objective measures. For more information see Application Guidelines.

<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>The Arkansas Rural Development Commission will review Application and make recommendations for funding of projects to benefit rural communities with a population of less than 3,000 persons. Applicants should contact the Department of Rural Services to obtain an application for the Rural Services Block Grant Program (RSBGP). In order to receive funding from AEDC, the applicant must receive approval from the Arkansas Rural Development Commission. Up to \$1,000,000.00 of the annual CDBG allocation will be used for this category. Applications will be evaluated on:</p> <ol style="list-style-type: none"> 1. The extent to which the project will benefit low- and moderate-income persons and meet CDBG eligibility requirements; 2. The extent to which other funds will be used to leverage the grant funds proposed for the project; 3. Appropriateness of the project to Department of Rural Services-Rural Community Fire Protection Grant Program and the Rural Community Development Block Grant Program. Projects may include fire protection and multi-use community centers; 4. The applicant’s readiness to proceed with the project; 5. Other criteria as defined in the application as prepared by the Department of Rural Services. <p>Note: legislation intends for priority to be placed on the use of CDBG funds for multi-use facilities that will offer combined facilities for programs commonly offered in separate facilities such as senior centers, public health centers, childcare centers and community centers.</p>
<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>As noted above within the description of the criteria used to select applications, this information was a summary of the criteria. Complete information regarding the application criteria will be made available within the RSBGP. The Guidelines will provide specific details on the Application questions, deadlines for completing applications, threshold requirements, and any other necessary items. This information will be available on the AEDC Division of Rural Services website: https://www.arkansasedc.com/Rural-Services/division/grants/rural-services-block-grant.</p>

<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>N/A</p>
<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>N/A</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>For the 2021 Program Year, AEDC will fund Rural Services projects in partnership with the Arkansas Department of Rural Services, with an anticipated \$1,000,000.00 in CDBG resources. The RSBGP award amount is based on beneficiary data of the project area as well as the feasibility and need for the project.</p>

	Describe threshold factors and grant size limits.	Each project funded must meet the CDBG National Objective of benefiting low to moderate (LMI) income persons. Up to \$75,000 in CDBG funds may be awarded per project for project activity and general administration combined; no more than 15% of the total award may be used for general administration. The match for this grant is 10% and may be comprised of in-kind labor, in-kind materials or cash.
	What are the outcome measures expected as a result of the method of distribution?	Approximately 3,000 people will benefit from these activities.
3	State Program Name:	Community Development- Emergency/Urgent Need
	Funding Sources:	CDBG
	Describe the state program addressed by the Method of Distribution.	Within the Public and Community Facilities, and Infrastructure Priority Need, CDBG funds will be used to assist local units of government with situations that pose a serious and immediate threat to public health, safety, or welfare. Priority is given to those projects that are meeting the Urgent Need national objective criteria but could qualify under LMI Benefit Area or Limited Clientele.

<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>Local units of government must submit a pre-application to the WWAC (if a water or wastewater project) or should otherwise consult with the AEDC Grants Division before submitting a full application. Applications are accepted any time during the year. The Grants Division will determine whether the community and project are eligible for funding. This determination may be made in consultation with appropriate federal, state and/or local agencies. If the pre-application and/or consultation meets the requirements, AEDC will invite the local unit of government to submit a full application. Full applications are reviewed on a quarterly basis by AEDC. AEDC will issue a notice of approval to those applicants who demonstrate a need, eligible CDBG activity, and meet the CDBG national objective. The applications must be signed by the chief elected official and must identify how the proposed project meets and/or addresses all of the following:</p> <p>In applying for an Urgent Need grant from the Community Development Block Grant program, the city/county is certifying that the project primarily serves persons of low- to moderate-income, and that:</p> <ul style="list-style-type: none"> • The proposed project must alleviate existing conditions which pose a serious and immediate threat to the health and welfare of the community. • The conditions developed or became urgent within 18 months of the date the proposal is submitted (not as result of neglect or lack of proper maintenance). • The applicant locality is unable to finance the project on its own, no other funding is available to address the problem, and the CDBG funding will be directly targeted towards alleviation of the threatening conditions; and, • The threat must be supported by either: • A current declaration of an emergency by the Governor of Arkansas relative to a flood, a hurricane, a tornado, an earthquake, or other disaster event, not including droughts, snow, or ice conditions; or,
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	<ul style="list-style-type: none"> • A current declaration of an immediate and severe health threat by the Arkansas Department of Health or Arkansas Department of Environmental Quality relative to the complete failure of a public water or sewer system or incident of similar significance.
<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>As noted above, and within the description of the criteria used to select applications under the Emergency/Urgent Need set-aside, this information was a summary of the criteria. Complete information regarding the application criteria will be made available within the CDBG Application Guidelines and the Emergency/Urgent Need application, when made available on the AEDC website. The Guidelines will provide specific details on the Application questions, deadlines for completing applications, threshold requirements, and any other necessary items. This information will be available on the AEDC website at: http://www.arkansasedc.com/grants.</p>
<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>N/A</p>

<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>N/A</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>Grant requests should range from a minimum of \$75,000.00 to a maximum of \$1,000,000.00 for specific types of projects, including design fees, although maximum awards may be based on project type, as designed upon release of program application package. Administrative fees will be added to the announced award amount. Additional project awards may be made throughout the year from the existing pool of applications as funds become available, including from unexpended funds from prior funding years, if eligible under that funding year’s annual action plan. Technical assistance will be available.</p>
<p>Describe threshold factors and grant size limits.</p>	<p>Grant requests should range from a minimum of \$75,000.00 to a maximum of \$1,000,000.00 for specific types of projects, including design fees, although maximum awards may be based on project type, as designed upon release of program application package. Administrative fees will be added to the announced award amount. Additional project awards may be made throughout the year from the existing pool of applications as funds become available, including from unexpended funds from prior funding years, if eligible under that funding year’s annual action plan. Technical assistance will be available.</p>
<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>For water and wastewater funding, AEDC will be able to identify those areas that meet a CDBG National Objective and target those projects that are impacting the safety of our citizens. Through a collaborative effort with other funding agencies, AEDC is better able to leverage federal and state grant resources in order to obtain maximum impact. An estimated 1,000 people will benefit from these public infrastructure and public facility emergency/urgent need projects.</p>

4	State Program Name:	Economic Development (ED)
	Funding Sources:	CDBG
	Describe the state program addressed by the Method of Distribution.	<p>Within the Economic Development Priority Need, CDBG funds will be utilized for providing communities with resources to assist businesses which expand the State’s economic base and which create quality jobs principally benefiting LMI employees and made available under the LMI CDBG National Objective criteria. Eligible activities will generally include: grants or loans to for-profit businesses (through the applicant community) for a variety of business purposes; or public facilities (infrastructure) projects undertaken by applicant communities for economic development purposes, where a benefiting business agrees to locate or expand premised on the infrastructure improvements and agrees to create jobs for LMI persons.</p> <p>AEDC shares the cost of project infrastructure needs by committing grants from state and federal infrastructure funds. The amount of assistance committed is dependent upon the strength of the company, number of jobs, average wage, project investment and costs associated with facility/site improvements.</p> <p>Funds may be used by eligible applicants to:</p> <ol style="list-style-type: none"> 1. Provide infrastructure necessary to serve the location of a new or expansion of an existing industry which will create new jobs or retain existing jobs; or 2. Provide loans to industry for economic development purposes when it can determine that the provision of such financing is necessary to create new jobs and/or retain existing jobs. Eligible activities for loans include, but are not limited to the following: acquisition, construction and equipment; or 3. Provide funds for the construction of facilities; or 4. Provide funds for a Commission-approved training program; or Undertake any combination of 1 through 4 above, provided that all other requirements can be met.

<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>Projects are generally evaluated through a cost benefit analysis process; and for basic eligibility, financial feasibility, appropriateness and the extent to which the project will result in the creation and/or retention of permanent jobs, primarily for low and moderate-income persons. The Housing and Community Development Act (HCDA) and HUD's CDBG regulations outline basic project "underwriting" guidelines/standards so that State programs will achieve the federal objectives of the CDBG Program. The underwriting standards used in the Arkansas ED program are designed to address these federal guidelines.</p> <p>Application for Economic Development funds are reviewed according to the following process:</p> <p>Loans. Applications complete a four-step process:</p> <ol style="list-style-type: none"> 1. Review for eligibility and compliance with applicable requirements; 2. Evaluation of the business plan for appropriateness, feasibility, and credit worthiness; 3. Review and recommendation by Economic Development Commission; and 4. Decision made by the Commission's Executive Director. <p>Infrastructure. Applications complete a three-step process:</p> <ol style="list-style-type: none"> 1. Review for eligibility and compliance with applicable requirements; 2. Determination of appropriateness, feasibility, need, and recommended funding level; and 3. Decision by the Commission's Executive Director. <p>This information provided is a summary. Additional information will be available within the ED Program Guidelines.</p>
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<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>As noted above within the description of the criteria used to select applications, this information was a summary of the criteria. Complete information regarding the application criteria will be made available within the CDBG Economic Development Application Guidelines. The Guidelines will provide specific details on the Application questions, deadlines for completing applications, threshold requirements, and any other necessary items. This information will be available on the AEDC website at: http://www.arkansasedc.com/grants</p>
<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>N/A</p>
<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>N/A</p>

<p>Describe how resources will be allocated among funding categories.</p>	<p>For the 2021 PY, AEDC is considering funding economic development activities/projects with the anticipated \$9,052,234.00 of funding allocated to this Program. Other activities/projects will be funded from AEDC's CDBG Economic Development State Revolving Loan Fund (SF). There is no limitation on the number of economic development applications and/or active projects which an eligible applicant may have with the Commission except as limited by the requirements defined in the Method of Distribution. Approximately 48% of the 2021 allocation will be used for this category and 100% of any program income received by the Commission generated from economic development projects will be used to make additional projects through the State Revolving Loan Fund, with up to 3% of that being eligible to be budgeted for State Administration.</p>
<p>Describe threshold factors and grant size limits.</p>	<p>AEDC shares the cost of project infrastructure needs by committing grants from state and federal infrastructure funds. The amount of assistance committed is dependent upon the strength of the company, number of jobs, average wage, project investment and costs associated with facility/site improvements. It is generally expected that CDBG grants will be a minimum of \$75,000, and there is no specific maximum grant size limit, although required to be considered is a company's ability to meet the public benefit standard per job to be created as a result of the grant funding.</p>
<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>Through the Economic Development Program additional jobs will be created or retained throughout the state which will create additional opportunities for Arkansans, particularly those who are LMI. An estimated 250 people will benefit from these activities through the creation of jobs.</p>
<p>5 State Program Name:</p>	<p>Emergency Solutions Grant Program</p>
<p>Funding Sources:</p>	<p>ESG</p>
<p>Describe the state program addressed by the Method of Distribution.</p>	<p>State of Arkansas supports a variety of housing and public service programs to service the homeless and special needs population. The efforts of the homeless coalitions, through the Continuum of Care process, have provided guidance on the needs of these communities. The process has resulted in a better understanding of the homeless and special needs community and their housing needs, enabling the State to arrive at a set of goals and objectives. These goals and objectives are provided below, with performance goals for FY 2021 Annual Plan Budget \$2,564,323.</p>

<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<ol style="list-style-type: none"> 1. Geographic Need for the Project 2. Financial Controls 3. Project Viability 4. Project Delivery Capacity 5. Administrative Capacity 6. Project Narrative 7. Community Support 8. Monitoring and Performance History [previous recipients only] <p>Preparation for Program [new applicants only]</p>
<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>N/A</p>

<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>Emergency Solutions Grant Program funds are made available to non-profit organizations and to units of local government that develop and operate homeless shelters and/or provide supportive services to homeless persons and families. Funds are distributed statewide through a competitive Request For Proposals Application process in amounts based on applicant requests and funding availability.</p> <p>Application Process:</p> <p>All applicants must be non-profit agencies or units of local government and must be in good standing with the appropriate local Continuum of Care.</p> <ol style="list-style-type: none"> 1. The Department of Human Services will hold a meeting with the chairpersons of all six Continuum of Care (CoC) organizations in the state. The purpose of these meetings is to discuss gaps in service, agency performance for the previous year, development of performance standards for agencies, funding allocations, and data collection. Recommendations for funding for each CoC will be formulated. 2. A meeting identical to that mentioned above will be held with representatives of each entitlement city in the state. 3. Requests for Proposals will be posted on the DHS website, e-mailed to interested organizations and existing participating agencies, posted in each DHS county office, and announced in the Arkansas Democrat Gazette. 4. A workshop will be held to introduce potential applicants to the ESG Program, to educate applicants on DHS Policies and Procedures relating to ESG, to provide a detailed explanation of the application and grant award process, and to provide technical assistance to applicants. 5. Completed applications will be received by DHS by published deadline. 6. Applications will be screened by a screening committee appointed by the Assistant Director of the DHS Division of County Operations – Office of Community Services (DCO-OCS) and made up of representatives of various divisions of DHS. Each committee member will screen each application.
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	<p>7. All applications meeting minimum standards for completeness and eligibility will be passed through by the Screening Committee for subsequent review and funding consideration.</p> <p>8. Each member of the DHS DCO-OCS staff will carefully review each application passed through by the Screening Committee and score each application against published scoring criteria.</p> <p>9. Scores from each reviewer will be averaged to a single numerical score for each applicant. That averaged score will be used to rank applicants and make final funding level decisions.</p> <p>10. DHS DCO-OCS will set funding targets for each ESG component based on available funding, and successful screening and review will not guarantee full or even partial funding. DHS DCO-OCS may set a cut-off score below which no applicant will be funded.</p> <p>11. A second workshop will be held for successful sub-grant recipients to review program requirements and discuss administration of the ESG Grant funds.</p>
<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>N/A</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>Of the \$2,261,347 from ESG, the state will designate \$11,3307 to street outreach; \$520,110 to homelessness prevention; \$542,723 to rapid re-housing; \$1,017,606 to Emergency shelters; and \$169,601 will fund program administration.</p> <p>Upon approval from HUD, any remaining funding for FY 2020 (2020-2021 program year) will be redistributed in FY 2021 (2021-2022 program year).</p>
<p>Describe threshold factors and grant size limits.</p>	<p>The amount of the grant that a sub-grantee may receive is depended on available funding for the categories in which funding is being requested. The percentage of funding per category is based on need identified throughout the state.</p>

	<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>Funding is expected to assist an estimated 2,000 individuals with homeless prevention services, 2,500 individuals with rapid re-housing, 5,000 individuals/families with shelter and shelter services, and 600 individuals with street outreach, totaling 10,000 individuals.</p> <p>Outcomes will be tracked on a monthly basis throughout the grant performance period. Performance indicators will be incorporated into the application scoring process during the next grant cycle.</p>
6	<p>State Program Name:</p>	<p>HOME Investment Partnership Program</p>
	<p>Funding Sources:</p>	<p>HOME</p>
	<p>Describe the state program addressed by the Method of Distribution.</p>	<p>The State of Arkansas receives HOME Funds through a formula allocation from HUD and ADFA is the designated agency that administers the funds allocated to the State. Under the HOME Program, ADFA is designated a “Participating Jurisdiction” or “PJ”. The local PJs in Arkansas include the Cities of Fort Smith, Little Rock, North Little Rock, and Pine Bluff.</p> <p>ADFA has board discretion in administering the HOME Funds. ADFA may administer activities directly, allocate funds to units of local government, for-profit entities and non-profit entities, evaluate and fund projects, or a combination of the two approaches. ADFA allocates funds to various partners through their formal application process. The application process is continual based upon funds availability.</p> <p>ADFA allocates funds to units of general local government, including cities, towns, townships and counties. The funds are then administered by local governments for eligible HOME uses. ADFA may undertake jointly funded projects with local PJs and may use HOME funds anywhere within the State including within the boundaries of local PJs. ADFA Programs funds allocated within a designated local PJ will be limited to down payment and closing costs assistance loans, CHDO Set-Aside projects, and joint Low-Income Housing Tax Credit/HOME developments. ADFA may also allocate funds to for-profit developers, housing non-profits and Community Housing Development Organizations (CHDO).</p>

<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>ADFA has set the following parameters regarding the amount of HOME Program Funds that can be allocated per applicant. NOTE: ADFA Board of Directors reserve the right to increase or decrease the maximum program allocation during the program year to meet HOME program requirements. Allocations are subject to availability of funds.</p> <ul style="list-style-type: none"> • Applicants must request a minimum of \$100,000 of HOME Program funds to be considered a HOME Program allocation (with the exception of TBRA projects). • The maximum amount that can be requested and allocated by ADFA is \$2,000,000 in HOME Program funds, subject to availability of funds. (Note: The maximum allocation for a CHDO project is \$900,000, subject to availability of funds). • The maximum amount that can be requested and allocated for TBRA projects by ADFA is \$2,000,000, subject to availability of funds. • TBRA applicants may apply for funding once a year and when 75% of the current allocation has been expended. <p>Applicants may be awarded maximum of 3 HOME projects, one project per housing activity type (Rental New Construction, Rental Rehab, TBRA), per HOME Fiscal year (July 1 to June 30) based upon capacity as determined by ADFA.</p> <p>(A request has been made to the Board to increase these amounts.)</p>
<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>N/A</p>

<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>N/A</p>
<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>N/A</p>
<p>Describe how resources will be allocated among funding categories.</p>	<p>Resources to be allocated among funding categories according to need reflected in the Needs Assessment and programmatic experience from years of operating housing programs with HOME funding. Funding among the categories include \$1,012,563 for down payment assistance, \$1,518,844 for new construction activities by CHDOs, \$1,012,563 for Tenant-Based Rental Assistance, \$3,037,689 for multifamily rental housing development, and \$2,531,407 for rental rehabilitation.</p>

<p>Describe threshold factors and grant size limits.</p>	<p>ADFA has set the following parameters regarding the amount of HOME Program Funds that can be allocated per applicant. NOTE: ADFA Board of Directors reserve the right to increase or decrease the maximum program allocation during the program year to meet HOME program requirements. Allocations are subject to availability of funds.</p> <ul style="list-style-type: none"> • Applicants must request a minimum of \$100,000 of HOME Program funds to be considered a HOME Program allocation (with the exception of TBRA projects). • The maximum amount that can be requested and allocated by ADFA is \$2,000,000 in HOME Program funds, subject to availability of funds. • The maximum amount that can be requested and allocated for TBRA projects by ADFA is \$225,000, subject to availability of funds. • TBRA applicants may apply for funding once a year and when 75% of the current allocation has been expended. <p>Applicants may be awarded maximum of 3 HOME projects, per housing activity (Rental, Homeowner, TBRA), per HOME Fiscal year (July 1 to June 30) based upon capacity as determined by ADFA.</p>
<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>The HOME Program funding is expected to provide 265 households with down_payment assistance, 10 new homes through CHDO development projects, assist 250 households with Tenant-Based Rental Assistance, develop 25 rental units, and rehab 20 rental units.</p>
<p>7</p>	<p>State Program Name: Housing Opportunities for Persons with AIDS Program</p>
<p>Funding Sources:</p>	<p>HOPWA</p>

<p>Describe the state program addressed by the Method of Distribution.</p>	<p>Arkansas Department of Health (ADH) HIV Services provides oversight for HOPWA funding granted to the State. The Northeast Arkansas Regional AIDS Network (NARAN) is under contract to use HOPWA dollars to administer HOPWA housing assistance including Tenant-Based Rental Assistance (TBRA), Facility-based rental assistance (FBRA), Short-Term Rent, Mortgage, and Utility (STRMU), Permanent Housing Placement (PHP) programs, and the support services or service coordination appropriate to ensure effective case management and attainment of HOPWA goals.</p>
<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>Project sponsors will be selected through a statewide Request for Applications (RFA) process that will include, but is not limited to, evaluation of the following parameters:</p> <ul style="list-style-type: none"> • Concentration of persons with HIV/AIDS in the geographic area to be served by the project sponsor; • Availability of suitable housing stock in the defined areas; • Demonstrated capacity of the applicant to provide quality services in compliance with ADH and HUD regulations; • Ability of the applicants to coordinate complimentary supportive services; and • Ability of the project sponsor to meet the urgent unmet needs of person with HIV/AIDS and their families. <p>Project sponsor(s) is (are) contracted for a period of 6 years, with contract subject to annual review for continuance based upon Project sponsor’s attainment of ADH and HUD goals and deliverables</p>
<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>N/A</p>

<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>N/A</p>
<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>Project sponsor(s) is(are) selected through a statewide Request for Applications (RFA) process that include, but is not limited to, evaluation of the following parameters:</p> <ul style="list-style-type: none"> • Concentration of persons with HIV/AIDS in the geographic area to be served by the project sponsor; • Availability of suitable housing stock in the defined areas; • Demonstrated capacity of the applicant to provide quality services in compliance with ADH and HUD regulations; • Ability of the applicants to coordinate complimentary supportive services; and • Ability of the project sponsor to meet the urgent unmet needs of person with HIV/AIDS and their families. <p>By the process defined above two (2) project sponsors were selected beginning GY15 and have contracts with a life span of six (6) years but subject to renewal on an annual basis, contingent upon performance and attainment of HOPWA goals and objectives. In GY2021, the final of the 6-year contract cycle, one Project Sponsor withdrew from the contract. The state arranged for a remaining Project Sponsor to execute HOPWA activities across all eligible Arkansas jurisdictions pending issuance of a new RFA in 2021, which is expected to go into effect per contractual terms in FY 2022.</p>

<p>Describe how resources will be allocated among funding categories.</p>	<p>2021 Estimated Distributions- Housing Opportunities for Persons with AIDS are as follows:</p> <p>I. Administration:</p> <p>a. Grantee: \$30,929.00, (or 3% of FY 2021 allocation from HUD)</p> <p>b. Project Sponsors: \$72,167.00 (or 7%. <u>Subject to adjustment</u> based on actual of total funds approved by the ADH under contract terms to project sponsor.</p> <p>II. Direct Housing and Related Support Services (DHRSS): \$927,857.00</p> <p>a. Direct Housing Assistance: \$649,500.00 (70% of DHRSS)</p> <ol style="list-style-type: none"> 1. TBRA + STRMU: \$570,500 2. PHP: \$59,000 3. FBRA: \$20,000 <p>b. Support Services: \$278,357.00 (30% of DHRSS)</p> <p><i>TOTAL HOPWA FY 2021 allocation from HUD: \$1,030,952.00</i></p>
<p>Describe threshold factors and grant size limits.</p>	<ul style="list-style-type: none"> • Availability of housing units that meet FMR (fair market rent): for TBRA, FBRA and PHP. • Availability of housing that meets HQS (housing quality standards): for TBRA. • Seasonal adjustments (or fluctuations) in utility rates-primarily gas and electricity: for STRMU and TBRA. • Availability of public housing units: for TBRA. • Availability of short-term rapid housing (hotel/motel)*: for FBRA <p>These are among multiple thresholds that may influence allocation and ongoing budgetary adjustments of funds to applicable activities.</p> <p><i>*Duration of not more than 60 days in any 6-month period.</i></p>

	<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>Supportive services and Case Coordination:</p> <ul style="list-style-type: none"> • Improve access to health care and other supportive services for 175 clients and their families per year. • Clients will remain in stable housing and will receive coordinated complimentary supportive services. <p>TBRA , FBRA, PHP and STRMU:</p> <p>Program will assist 200 clients per year establish and or better maintain permanent safe, decent, stable, and affordable housing.</p>
8	<p>State Program Name:</p>	<p>Housing Trust Fund (HTF) from HUD</p>
	<p>Funding Sources:</p>	<p>HTF</p>

<p>Describe the state program addressed by the Method of Distribution.</p>	<p>This National Housing Trust Fund (HTF or NHTF) Plan seeks to ensure that, where economically feasible, applicants will have an opportunity to compete for funding to address their unmet rental housing needs. ADFA has established certain basic housing requirements to be used in the allocation of NHTFs, which are briefly described below.</p> <ol style="list-style-type: none"> 1. <i>Geographic Diversity</i> – ADFA anticipates allocating available NHTF to expand the Extremely Low-income (ELI) overall rental housing supply located throughout Arkansas. 2. <i>Applicant Capacity</i> – Great consideration will be given to ability of the applicant to undertake and complete the construction of the proposed type of housing in a timely manner. The applicant must have the financial capacity to complete the proposed development and must provide a list of experienced development team members such as a general contractor, architect, property manager and legal counsel. 3. <i>Rental Assistance</i> – Due to the targeted population of ELI, the ability to secure rental assistance so that rents are affordable to ELI families will be a major factor in the success of any proposed development. 4. <i>Duration of Affordability Period</i> – All NHTF rental units must have affordability requirements for a minimum of thirty (30) years. The Development Team must demonstrate strength to enforce the affordability period.
<p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p>	<p>The ADFA scoring matrix outlines specific points for each goal and is included on the ADFA website, under the Forms section at: https://adfa.arkansas.gov.</p>

<p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p>	<p>N/A</p>
<p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p>	<p>N/A</p>
<p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p>	<p>N/A</p>

<p>Describe how resources will be allocated among funding categories.</p>	<p>Maximum Cost Per- Unit and Minimum Area Requirements</p> <table border="1"> <thead> <tr> <th></th> <th>Min. Bedroom</th> <th>Max. Cost</th> </tr> <tr> <th>Property Type</th> <th>#Bedrooms</th> <th>#Baths</th> </tr> <tr> <th></th> <th>Net Area</th> <th>Per - Unit</th> </tr> </thead> <tbody> <tr> <td>Rental Unit</td> <td>3-4</td> <td>2</td> </tr> <tr> <td>Rental Unit</td> <td>1-2</td> <td>1-2</td> </tr> <tr> <td>SFD</td> <td>3-4</td> <td>2</td> </tr> <tr> <td>SFD</td> <td>2</td> <td>1-2</td> </tr> </tbody> </table> <p>Per Unit Costs: calculated by dividing the total development costs by the total number of units. Unit areas do not include outside storage, covered porches, patios, balconies, etc.</p>		Min. Bedroom	Max. Cost	Property Type	#Bedrooms	#Baths		Net Area	Per - Unit	Rental Unit	3-4	2	Rental Unit	1-2	1-2	SFD	3-4	2	SFD	2	1-2
	Min. Bedroom	Max. Cost																				
Property Type	#Bedrooms	#Baths																				
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Rental Unit	3-4	2																				
Rental Unit	1-2	1-2																				
SFD	3-4	2																				
SFD	2	1-2																				
<p>Describe threshold factors and grant size limits.</p>	<p>The maximum grant size is \$1,000,000 and waivers will be considered.</p>																					
<p>What are the outcome measures expected as a result of the method of distribution?</p>	<p>Example:</p> <table border="1"> <tbody> <tr> <td>25 Single Family Dwelling Homes (5 x \$150,000)</td> <td>\$ 750,000</td> </tr> <tr> <td>2 Projects of 6 General Rented Units (12 x \$151,000)</td> <td><u>\$1,812,000</u></td> </tr> <tr> <td>Estimated Total Units 17 for a cost of approximately</td> <td>\$2,562,000</td> </tr> </tbody> </table> <p>This example pertains to each year's \$3,000,000 allotment.</p>	25 Single Family Dwelling Homes (5 x \$150,000)	\$ 750,000	2 Projects of 6 General Rented Units (12 x \$151,000)	<u>\$1,812,000</u>	Estimated Total Units 17 for a cost of approximately	\$2,562,000															
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Estimated Total Units 17 for a cost of approximately	\$2,562,000																					

Discussion:

The State Programs set forth within this Method of Distribution of the current CDBG, HOME, ESG, HOPWA, and HTF allocations attempt to balance the need for providing jobs and suitable places to live. Funds are reserved in each category based on a percent of the total funds available for distribution to local governments.

AP-35 Projects – (Optional)

Introduction:

The 2021 CDBG Program Year allocation priorities have been included below as projects. Actual activities will not be determined until the application cycle has generated competitive projects and selections for awards have been made.

Proposed beneficiary information for each priority set-aside has been included in AP-30, Method of Distribution.

#	Project Name
1	2021 CDBG State Administration
2	2021 CDBG Technical Assistance
3	2021 CDBG Economic Development
4	2021 CDBG General Assistance
5	2021 CDBG Emergency/Urgent Need
6	2021 CDBG Rural Services

Table 9 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The 2021 Program Year allocation priorities were determined through the 2020-2024 Consolidated Planning process. The largest obstacle to meeting underserved needs include a lack of funding opportunities to meet all need. The State makes every attempt to coordinate efforts to provide services and facilities throughout the State.

AP-38 Project Summary

Project Summary Information

1	Project Name	2021 CDBG State Administration
	Target Area	
	Goals Supported	Admin
	Needs Addressed	Program Administration
	Funding	CDBG: \$477,176
	Description	
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	
2	Project Name	2021 CDBG Technical Assistance
	Target Area	
	Goals Supported	
	Needs Addressed	
	Funding	CDBG: \$188,588
	Description	
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	

	Location Description	
	Planned Activities	
3	Project Name	2021 CDBG Economic Development
	Target Area	
	Goals Supported	Eco Dev
	Needs Addressed	Industry and Job Creating Job Training, Workforce Development Employment Training Business Retention Business Technical Assistance
	Funding	CDBG: \$9,052,234
	Description	
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	
	4	Project Name
Target Area		
Goals Supported		Fac/ Infra

	Needs Addressed	Public and Community Facilities Infrastructure Parks and Recreation Facilities Fire Equipment Demolition and Site Clearance ADA Modifications Homeless Facilities Code Enforcement Senior Services Child Care Services Youth Services Disability Services Health Services/Substance Abuse HIV/AIDS Services and Housing Assistance Meals/Food Transportation
	Funding	CDBG: \$7,640,823
	Description	
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	
5	Project Name	2021 CDBG Emergency/Urgent Need
	Target Area	
	Goals Supported	Fac/ Infra

	Needs Addressed	Public and Community Facilities Infrastructure
	Funding	CDBG: \$500,000
	Description	
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	
6	Project Name	2021 CDBG Rural Services
	Target Area	
	Goals Supported	Fire Prot
	Needs Addressed	Public and Community Facilities Fire Equipment
	Funding	CDBG: \$1,000,000
	Description	
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	

AP-40 Section 108 Loan Guarantee – 91.320(k)(1)(ii)

Will the state help non-entitlement units of general local government to apply for Section 108 loan funds?

No

Available Grant Amounts

N/A

Acceptance process of applications

N/A

AP-45 Community Revitalization Strategies – 91.320(k)(1)(ii)

Will the state allow units of general local government to carry out community revitalization strategies?

No

State’s Process and Criteria for approving local government revitalization strategies

N/A

AP-50 Geographic Distribution – 91.320(f)

Description of the geographic areas of the state (including areas of low-income and minority concentration) where assistance will be directed

Projects created in response to the four federal funding programs, CDBG, HOME, HOPWA, ESG and NHTF, will be spread across non-entitlement communities throughout the state. The vast majority of the combined funding will be provided through individual benefit criteria, available to low-income individuals/households regardless of the demographics of the community in which they reside. A smaller portion, primarily funded through the CDBG Program, will address infrastructure and public facility concerns within CDBG eligible areas where more than 51 percent of residents earn less than 80 percent of the area median income. For CDBG, 50 percent of CDBG funding will be used in CDBG area benefit eligible areas.

Geographic Distribution

Target Area	Percentage of Funds
Statewide	83
CDBG Eligible Areas	17

Table 10 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The proposed allocation of funds is based on federal funding requirements for each formula-allocated grant. Areas of low- to moderate-income concentration and certain areas of high minority concentration are targeted. Areas of low homeownership and deteriorating housing conditions were also considered in the targeting process.

Discussion

The distribution of funds by target area is projected to be primarily statewide due to use of funds for administrative, non-profit support, and individual benefit-oriented programmatic uses of the funds. The remaining funds are estimated to be spread through smaller CDBG-eligible areas.

Affordable Housing

AP-55 Affordable Housing – 24 CFR 91.320(g)

Introduction:

The annual goals listed previously specify the following production numbers for housing assistance and for homelessness, non-homeless, and special needs populations.

One Year Goals for the Number of Households to be Supported	
Homeless	4
Non-Homeless	580
Special-Needs	48
Total	632

Table 11 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	250
The Production of New Units	62
Rehab of Existing Units	70
Acquisition of Existing Units	250
Total	632

Table 12 - One Year Goals for Affordable Housing by Support Type

Discussion:

These figures relate to production targets specified in the annual goals for 2021 through HOME funded programs. Additional funding will be provided for rental assistance through the homeless prevention and rapid re-housing programs promoted through the ESG funding. Each program will provide benefits for 4,000 individuals/households during the program year, but it is unknown at this point-in-time what portion of each program will be used for rental assistance and what portion will provide rent deposit, utility payments, or mortgage payment assistance.

AP-60 Public Housing - 24 CFR 91.320(j)

Introduction:

The State does not manage any public housing units.

Actions planned during the next year to address the needs to public housing

N/A

Actions to encourage public housing residents to become more involved in management and participate in homeownership

N/A

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

N/A

Discussion:

The State does not manage any public housing units.

AP-65 Homeless and Other Special Needs Activities – 91.320(h)

Introduction

The State of Arkansas is active in the Balance of State Continuum of Care, addressing issues related to homeless in the region. Funding for homeless projects and services are sourced primarily through that process.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Balance Continuum of Care, the coalition of local homeless service agencies covering the smaller communities in the state, conducts annual surveys of homeless individuals, including unsheltered persons. These surveys serve to help focus agency activities for the coming year, as well as provide documentation in response to HUD program requirements.

Addressing the emergency shelter and transitional housing needs of homeless persons

This Annual Action Plan includes ESG funding to address emergency shelter needs of homeless persons. Program goals include funding 20 programs per year, providing emergency shelter to approximately 5,000 individuals/households per year. These funding and performance plans extend the full five years of this Consolidated Plan.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The State has a goal of funding at least one permanent housing project during the five-year span of this Consolidated Plan. The State will work with local agencies and organizations to identify and promote opportunities to invest funds for these activities.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving

assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The State is providing for services through ESG funding that address homeless prevention and rapid re-housing to help low-income individuals and families avoid becoming homeless. These programs include funding for rent assistance, mortgage payment emergency assistance, first and last month rents, and utility payment assistance. An estimated 8,000 individuals/households will be assisted through these efforts statewide.

Discussion

The State, ESG subgrantees, and participants in the Balance Continuum of Care work closely together to meet the needs of homeless individuals and families through the continuum of services coordinated through the partnership.

AP-70 HOPWA Goals – 91.320(k)(4)

One year goals for the number of households to be provided housing through the use of HOPWA for:	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	75
Tenant-based rental assistance	110
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	15
Total	200

AP-75 Barriers to affordable housing – 91.320(i)

Introduction:

The provision of safe, decent affordable housing is one of the greatest challenges facing the State of Arkansas, its development partners, and many jurisdictions across the State. The State is attempting to meet this challenge by providing financial and technical support to nonprofit housing developers, partnering with the private sector to develop mixed-income, mixed-use communities and encouraging the removal of barriers in zoning ordinances that serve as impediments to increased density in exchange for affordable apartments or condominiums.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The State does not have authority over local zoning and development regulations but will support local governments with the removal of barriers as applicable.

- Review options to support local Homeowner Rehabilitation Program,
- Review options for providing support to local down payment assistance programs,
- Advocating for zoning changes to enhance affordable housing development,
- Securing future funding for affordable housing and services,
- Supporting the administration of rental, mortgage, and utility assistance programs
- Evaluating or assessing housing design to determine how lower costs per square foot can be achieved.
- The State will support local jurisdictions in efforts to actively market local homebuyer assistance programs to minority families and individuals.

Support broadband initiatives to provide access to LMI populations and rural communities.

Discussion:

There are no known public policy barriers to affordable housing development in Arkansas, though market factors do influence the ability to produce a range of housing to address all income levels. Most of these factors, including the cost of construction, price of developable land, and tenant/homebuyer incomes, are beyond the influence of the State of Arkansas. Where possible, the State provides or funds services that address market factors, such as job training and business development activities.

AP-85 Other Actions – 91.320(j)

Introduction:

The state currently provides a variety of services to the residents of Arkansas, some funded by CDBG, HOME, ESG, HOPWA, and HTF allocations, with private and State funding bringing additional assets to bear on these problems. Below are some of the actions currently performed by the state or subgrantees or under consideration for the future.

Actions planned to address obstacles to meeting underserved needs

The state will continue to look for new funding sources for programs to address underserved needs. Funding is the major obstacle in providing the services needed to focus on the vast variety of issues that prevent families from breaking out of poverty and from living in the best, most affordable housing possible.

Actions planned to foster and maintain affordable housing

The state of Arkansas provides funding through HOME for new single-family and multifamily housing development. The state also provides HOME funding for rental rehabilitation programs. State staff also manages the approximately \$8.5 million annual Low-Income Housing Tax Credit allocation for Arkansas.

Actions planned to reduce lead-based paint hazards

- Continue to meet HUD lead-based paint abatement standards in housing rehabilitation programs operated by subgrantee communities.
- Seek funding as it becomes available to provide testing and abatement of lead-based paint hazards in single-family housing where young children are present.
- Expand the stock of lead safe housing units through new housing initiatives.
- Seek funding as it becomes available to provide for testing, abatement, training, and educational awareness.

Actions planned to reduce the number of poverty-level families

The state will continue its efforts in conjunction with the six Continua of Care in Arkansas to reduce the number of poverty-level families through the development of services needed to assist those families with educational opportunities, job growth, and life skills training through the various social service agencies operating in the communities across the state.

Actions planned to develop institutional structure

- Work with non-profit organizations to address community needs and provide support to federal

and non-federal funding initiatives.

- Work with private industry to address important issues that hamper housing and community development efforts.
- Identify opportunities to create private/public partnerships for project finance and development to leverage federal funds.

Actions planned to enhance coordination between public and private housing and social service agencies

The state will continue to coordinate planning activities with subgrantees and private housing and social service agencies, including participation in the Balance of State Continuum of Care meetings, development of the Continuum of Care, and coordinate the enumeration of point-in-time and homeless surveys by continua throughout the state. State staff will also continue its participation in other coalitions and study groups as the opportunity arises.

Discussion:

These actions are primarily the continuation of what the state is currently doing in the various areas. No major obstacles in the institutional structure have been identified that need to be addressed. The state is also satisfied with its efforts to coordinate with private housing and social service agencies.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.320(k)(1,2,3)

Introduction:

The following provides details on program specific requirements for each of the three entitlement programs, Community Development Block Grant, HOME Investment Partnership, HOPWA, and ESG.

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.320(k)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	2,000,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	2,000,000

Other CDBG Requirements

1. The amount of urgent need activities	500,000
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	70.00%

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.320(k)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is

as follows:

The State will continue to support the many efforts of the non-profits and social service provider agencies in the community in their efforts to obtain funding from various sources for their programs.

Many of these organizations receive private donations to sustain their programs, and most apply for funding on the federal, state, and local level.

The availability of federal funds would enhance any of the listed programs and would mean that more services, and housing, could be provided. Because of the scarcity of any type of funding, the State has been working with various organizations to try to develop programs that would increase the leveraging capacity of federal funding mechanisms so that more money would be available for other needed endeavors. Better use of the existing resources is a main concern of everyone.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:
 - 1) Any amount repaid as a result of a homebuyer property being sold within the affordability period. The recaptured provisions must be stated in written agreements between Recipients and the income of any eligible homebuyers. 2) Recaptured funds are a return of the original HOME investment and are technically not program income. Therefore, unlike program income, 10 percent of recaptured funds cannot be used for planning and administrative costs and must be returned to ADFA. 3) When HOME funds are expended for projects that are terminated before completion, voluntary or involuntary, the HOME funds that have been expended are ineligible and must be repaid. 4. The termination of affordability restrictions does not relieve ADFA of its repayment obligations for housing that does not remain affordable for the required period.

ADFA HOME Program Operations Manual - CHAPTER 5:

HOMEOWNER HOUSING PROGRAM – HOMEBUYER; 5-15 January 2018:

Selling a Unit during the Affordability Period – Recapture Requirements 1) The HOME Program requires that if a property is sold, either voluntarily or involuntarily (e.g., foreclosure) during the affordability period, the HOME investment must be “repaid.” The HOME Program refers to this repayment requirement as “recapture.” 2) What is recapture? Recapture is defined as an affordability mechanism where the Recipient or developer executes a written agreement with the homebuyer that only includes the amount of “direct HOME assistance” that enabled the homebuyer to buy the housing unit. This assistance must be “recaptured,” in whole or in part, if the unit is sold before the end of the affordability period. 3) This “direct HOME assistance” is defined as a “mortgage subsidy” and includes the following for ADFA programs: Down payment and closing cost

assistance; Gap financing (e.g., second mortgage); and/or Reduction in purchase price from market value to an affordable sales price, if HOME funds were provided to a developer. 4) The period of affordability, shown above, is based on the direct HOME assistance to the homebuyer. 5) ADFA provides a 0% forgivable loan that is forgiven commensurate with the period of affordability.

DOWN PAYMENT ASSISTANCE RECAPTURE: 1) If the down payment assistance is in the form of a forgivable loan, the assistance will be forgiven in equal annual installments over the period of affordability (5 years). The homebuyer must maintain the house as their principal residence for the full affordability period (5 years). If the property is sold during the affordability period, ADFA will recapture the amount of HOME funds that have not been forgiven. 2) If the down payment assistance is in the form of a repayable loan, the assistance will be repayable at a nominal interest rate with an amortization set by ADFA but not to exceed the term of the 1st mortgage. The homebuyer must maintain the house as their principal residence for the full affordability period (5 years). If the property is sold during the affordability period, ADFA will recapture the amount of HOME funds that have not been repaid.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

ADFA will recapture that portion of HOME Program investment unforgiven during the affordability period or recapture the maximum net proceeds from sale of property (whether recapture is affected through foreclosure or no foreclosure action). Net proceeds will be used to: (1) Reimburse the HOME Program (approved activity) for the outstanding balance of HOME funds not repaid or forgiven during the applicable affordability period at the time of recapture; (2) Reimburse the HOME Program (administration) for "holding costs" or other costs associated with the recapture action (legal fees, insurance, taxes, realtor fees, appraisal/BPO costs, etc.). In the event net proceeds are less than the outstanding balance of HOME funds invested in the property (for all approved activities and holding costs incurred), the loss will be absorbed by the HOME Program and all HOME Program requirements would be considered to have been satisfied. If net proceeds recaptured are greater than the outstanding balance of HOME funds invested in the property (for all approved activities and holding costs incurred), the balance of net proceeds would be distributed to the homeowner (or his/her estate). If the recapture of proceeds is effectuated through a completed foreclosure action, and the property is legally owned by ADFA, the balance of net proceeds recaptured will inure to ADFA.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that

will be used under 24 CFR 92.206(b), are as follows:

The State does not intend to use HOME funding for this purpose.

**Emergency Solutions Grant (ESG)
Reference 91.320(k)(3)**

1. Include written standards for providing ESG assistance (may include as attachment)

Currently funded agencies or those who have received prior funding from the Office of Community Services of the Department of Human Services will be evaluated on past performance in carrying out programmatic activities and contractual compliance. Factors such as agency ability to meet service delivery goals, timely expenditure of funds, timely reporting, accuracy of reporting, ability to meet audit requirements, and other programmatic and fiscal contractual requirements will be considered. These other factors will be considered in conjunction with the proposal score in developing an overall recommendation for agency funding.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

Congress has directed HUD to improve the collection of data on the extent of homelessness locally and nationally. Communities must collect an array of data including an unduplicated count of homeless persons, analyze their patterns of the use of the McKinney-Vento and other assistance, including information on how they enter and exit the homelessness assistance system and assess the effectiveness of that assistance. Through the Federal Register Notice, the Emergency Solutions Grants Program and Community Development Block Grants were made a part of this mandate. Therefore, all proposed projects/organizations must provide written certification of their participation in an existing HMIS.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The Office of Community Services, Department of Human Services administers the State of Arkansas Emergency Solutions Grants Program. The State awards grants to local nonprofit organizations. The prospective nonprofit organization will be required to have the appropriate unit of local government concur with and sign off on the request for assistance.

The grants will be offered using a Request for Proposal process which will be done on a statewide basis. The Emergency Solutions Grants Committee, composed of up to 6 members, will review grant awards. They include the following divisions in the Arkansas Department of Human Services:

1. County Operations

2. Children and Family Services
3. Development Disabilities
4. Volunteerism
5. Behavioral Health
6. Aging and Adult Services
7. Administrative Services

Each member of the committee votes independently and prior to the award meeting. At the award meeting, the committee reviews the applications and tabulates the scores according to the criterion established. The applicants receiving the highest scores will be funded until funds are depleted. In the event of a tie, the balance of funds will be divided equally among the applicants.

The criterion to be used by the committee deals with the nonprofit organization's ability to provide the services needed if they are listed as eligible activities, and the priority needs, and specific objectives described are in the Consolidated Plan. Each year, the committee meets and establishes priority needs for the year and points are given if the nonprofit organization can address those needs as well as the basic services.

The release of funds will be contingent upon the applicant successfully completing the application, scoring the highest points, and having the ability to provide services needed. The application must contain the necessary provision, which adequately describe the program for which assistance is sought. The program must be consistent with the requirements issued under the Arkansas Consolidated Plan. The applicant must successfully complete the environmental review process and sign all the certifications and assurances as required by law. The applicant will be monitored to make sure they are in compliance and funding can and will be stopped if they fail to meet the obligation as outlined in their grant.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The State requires non-profits participating in the ESG program to have homeless representatives on their boards of directors.

5. Describe performance standards for evaluating ESG.

The Arkansas Department of Human Services will be responsible for tracking the measures for the four objectives under the Homelessness goal of expanding and improving the housing services offered to families and individuals in the State of Arkansas. The indicators relating to homelessness, listed below, will be tracked quarterly by DHS, and reported in the "State of Arkansas Annual Update to the Consolidated Plan." The first objective, to continue coordination within and among the State

Continua of Care, has five indicators. This objective serves to improve services offered to homeless families and individuals through the sharing of knowledge throughout the supportive services network. seminars, conferences, and participation in the Homeless Management Information System (HMIS) elevate the capacity of members of the thirteen Continuums of Care within the State. The indicators under this objective measure the participation level of the Continuums and of the non-profits under them. The second objective is to support services aimed at the prevention and elimination of homelessness. The first indicator under this objective is a count of the number of programs assisted per year with operating costs at a level of \$15,000. This indicator measures the number of larger programs sustained by the HUD funding for monthly operating costs. The second indicator is the number of programs assisted per year with funding for prevention activities. This indicator will be measured along with the total number of persons served by these programs to establish an overall effectiveness measure for the funding directed at prevention programs. The third objective, to expand emergency shelter facilities, particularly those serving homeless families, has two indicators to measure the State's progress. The two indicators directly measure the number of shelters and the persons served. The fourth objective with indicators measured by the Arkansas Department of Human Services is the support to nonprofit organizations seeking to develop permanent housing opportunities for homeless persons. The indicator measures the number of nonprofit organizations DHS is able to aid in their attempt to secure funding sources.

Housing Trust Fund (HTF)
Reference 24 CFR 91.320(k)(5)

1. How will the grantee distribute its HTF funds? Select all that apply:

Applications submitted by eligible recipients

2. If distributing HTF funds through grants to subgrantees, describe the method for distributing HTF funds through grants to subgrantees and how those funds will be made available to state agencies and/or units of general local government. If not distributing funds through grants to subgrantees, enter "N/A".

N/A

3. If distributing HTF funds by selecting applications submitted by eligible recipients,

a. Describe the eligibility requirements for recipients of HTF funds (as defined in 24 CFR § 93.2). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

In accordance with the requirements of Section 93.2, eligible recipients are defined as follows: an organization, agency, or other entity (including a public housing agency, or a for-profit entity or a non-profit entity) that receives NHTF assistance from a grantee as an owner or developer to carry out an NHTF-assisted project. A recipient must make acceptable assurances to the grantee that it will comply with the requirements of the NHTF program during the entire period that begins upon selection of the recipient to receive NHTF funds, and ending upon the conclusion of all NHTF-funding activities. A recipient must demonstrate the ability and financial capacity to undertake, comply, and manage the eligible activity; and demonstrate its familiarity with the requirements of other Federal State, or local housing programs that may be used in conjunction with NHTF funds to ensure compliance with all applicable requirements and regulations of such programs. The recipients must have demonstrated experience and capacity to conduct an eligible NHTF activity as evidenced by its ability to design, construct, own, manage, operate, and market affordable multi-family rental housing. ADFA will measure these requirements per methods listed in ADFA's Annual NHTF Allocation Plan and per documents submitted with the application (<https://adfa.arkansas.gov/files/>). No NHTF application will be processed for any applicant or related entity which is not in good standing with ADFA and any other State housing finance authority, the Arkansas Economic Development Commission (AEDC), HUD, USDA Rural Development, or VA. An applicant can be denied consideration of the NHTF funds if the applicant or its related parties have a history of payment delinquencies, bankruptcy, foreclosure, or activities determined to be unsound or unlawful. ADFA will distribute NHTF by directly selecting applications submitted from eligible recipients and will not use sub-grantees. "Eligible Applicant" consists of the "Development Team" who will construct new rental housing (single family or multi-family units) or rehabilitation of existing units. "Development Team" means the applicant, consultant, contractor, architect, accountant, property manager, and attorney.

b. Describe the grantee's application requirements for eligible recipients to apply for HTF funds. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

ADFA will evaluate each application to determine which projects should receive an award of NHTF (also referred to as HTF) funds. All applicants must submit an application with supporting documentation, including evidence sufficient to ADFA that the applicant's proposed project, at a minimum, meets all of the housing priority factors in order to be considered for funding. Funds will be awarded, based on the application (at a minimum) meeting the general NHTF requirements and the total score of the application. Once ADFA has determined that the application meets or exceeds all requirements, ADFA will award funds to the highest-scoring projects until all NHTF funds have been allocated. There is no minimum score.

c. Describe the selection criteria that the grantee will use to select applications submitted by eligible recipients. If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

ADFA's selection of eligible recipients will be based upon those applicants achieving the highest scores, and awarded in that order, until funds are depleted. The reviewing underwriter will score each application, using the Scoring Matrix and criteria outlined in the NOFA-RFP (see attachments). All required application documents (<https://adfa.arkansas.gov/files/>) should be submitted with original signatures, legible and complete, and with all required third-party documents. ADFA will post the NHTF (also referred to as HTF) application checklist and instructions for the complete list of the application cycle. All applicants must comply in all respects with ASTM E157-13 (the "ASTM Standards") as to content and adhere to ADFA's Environmental Policy Requirements for purposes of determining whether the property is environmentally suitable for construction of residential housing. ADFA may, within its discretion, deny applications based upon the unreasonableness of costs, regardless of whether the costs per-unit complies with the maximum costs per-unit limitation. Upon request by ADFA staff, applicants may provide justification and supporting documentation.

d. Describe the grantee’s required priority for funding based on geographic diversity (as defined by the grantee in the consolidated plan). If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

Applications received for any project within the State of Arkansas will be underwritten and scored. In the event of a tie, the tie breaking system described in the ADFA NHTF Allocation Plan will be used.

Construction costs do not vary much in Arkansas for different areas of the State. Some regions do require a soils report and more foundation work. At the time of ADFA underwriting of each application, the costs are thoroughly reviewed and adjusted for under-estimates or excessive costs. ADFA uses discretion to determine the reasonableness of all costs stated in the proposed development budget regardless of whether the costs per-unit comply with the maximum costs per-unit limitation set forth in the ADFA NHTF Allocation Plan. ADFA may, within its discretion, deny applications based upon the unreasonableness of costs, regardless of whether the costs per-unit complies with the maximum costs per-unit limitation. Upon request by ADFDA staff, applicants may provide justification and supporting documentation of costs. ADFA will review the items submitted and make a final determination. ADFA's determination will be set forth in writing as to whether the application will be further considered or rejected.

e. Describe the grantee’s required priority for funding based on the applicant's ability to obligate HTF funds and undertake eligible activities in a timely manner. If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

The ADFA NHTF Scoring Matrix gives up to 10 points for the applicant's ability to meet deadlines in a timely manner, based upon past history with ADFA, or letters of support from other stakeholders (banks, government agencies, or local authorities), or documentation submitted in the application evidencing efficient use of time in meeting deadlines on past projects. Construction must be started within 6 months from award date, with completion of the project by 18 months from the start date of construction.

f. Describe the grantee’s required priority for funding based on the extent to which the rental project has Federal, State, or local project-based rental assistance so that rents are affordable to extremely low-income families. If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

The ADFA NHTF Scoring Matrix gives up to 10 points for applicants with projects that have secured Federal, or State of loan project based (PBRA) and/or voucher(s) for rental assistance so that rents are affordable to ELI households. A funding priority of up to 10 points will be given to applicants that have a commitment from other non-federal sources. To qualify for points for receiving additional subsidies, the funds may be loaned, with repayment required, or granted during construction and/or as a permanent source of funds.

10 points - Greater than 75% per unit

7 points - 50% to 75% per unit

5 points - Greater than 25% per unit

g. Describe the grantee’s required priority for funding based on the financial feasibility of the project beyond the required 30-year period. If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

The ADFA NHTF Scoring Matrix gives up to 10 points for projects that demonstrate the ability to remain financially feasible five (5) years beyond the required thirty (30) year period. The longer affordability term is more desirable, to promote affordable housing for a longer period.

h. Describe the grantee’s required priority for funding based on the merits of the application in meeting the priority housing needs of the grantee (such as housing that is accessible to transit or employment centers, housing that includes green building and sustainable

development features, or housing that serves special needs populations). If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

The ADFA NHTF Scoring Matrix gives up to 10 points to applicants demonstrating an ability to meet the needs of beneficiaries by means of proximity to Veteran medical facilities, support services, public transportation, grocery stores, and metropolitan centers giving preferential consideration to jobs for Veterans.

Also, the ADFA NHTF Scoring Matrix gives up to 10 points for targeting rental housing needs for ELI Veterans who are not only Veterans but are homeless (or at risk of becoming homeless), those with special needs, individuals leaving correctional institutions, and those with mental health issues. The applicants must identify this population needing assistance in their service area and should specifically address the needs (housing and services) of this population. They should use quantifiable data, specific to their service area, to the maximum extent possible. Data should include the number of individuals and families serviced during the last calendar year as well as specific services provided.

i. Describe the grantee’s required priority for funding based on the extent to which the application makes use of non-federal funding sources. If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

The ADFA NHTF Scoring Matrix gives up to 10 points for leveraging of non-federal resources and the extent to which the applicant makes use of non-federal funding sources. Non-federal resources may include funds from state, local publicly controlled funds, private funds of in-kind commitments and/or land donated by state or local government to achieve deep affordability for ELI households.

4. Does the grantee’s application require the applicant to include a description of the eligible activities to be conducted with HTF funds? If not distributing funds by selecting applications submitted by eligible recipients, select “N/A”.

Yes

5. Does the grantee’s application require that each eligible recipient certify that housing units assisted with HTF funds will comply with HTF requirements? If not distributing funds by selecting applications submitted by eligible recipients, select “N/A”.

Yes

6. Performance Goals and Benchmarks. The grantee has met the requirement to provide for performance goals and benchmarks against which the grantee will measure its progress,

consistent with the grantee's goals established under 24 CFR 91.315(b)(2), by including HTF in its housing goals in the housing table on the SP-45 Goals and AP-20 Annual Goals and Objectives screens.

Yes

7. Maximum Per-unit Development Subsidy Amount for Housing Assisted with HTF Funds. Enter or attach the grantee's maximum per-unit development subsidy limits for housing assisted with HTF funds.

The limits must be adjusted for the number of bedrooms and the geographic location of the project. The limits must also be reasonable and based on actual costs of developing non-luxury housing in the area.

If the grantee will use existing limits developed for other federal programs such as the Low Income Housing Tax Credit (LIHTC) per unit cost limits, HOME's maximum per-unit subsidy amounts, and/or Public Housing Development Cost Limits (TDCs), it must include a description of how the HTF maximum per-unit development subsidy limits were established or a description of how existing limits developed for another program and being adopted for HTF meet the HTF requirements specified above.

The limits must be adjusted for the number of bedrooms and the geographic location of the project. The limits must also be reasonable and based on actual costs of developing non-luxury housing in the area.

If the grantee will use existing limits developed for other federal programs such as the Low Income Housing Tax Credit (LIHTC) per unit cost limits, HOME's maximum per-unit subsidy amounts, and/or Public Housing Development Cost Limits (TDCs), it must include a description of how the HTF maximum per-unit development subsidy limits were established or a description of how existing limits developed for another program and being adopted for HTF meet the HTF requirements specified above.

The Maximum Per-unit Development Subsidy Amount of Housing Assisted with NHTF funds, described in the appendix, is based upon guidelines from ADFA's Minimum Designed Standards, also in the appendix.

8. Rehabilitation Standards. The grantee must establish rehabilitation standards for all HTF-assisted housing rehabilitation activities that set forth the requirements that the housing must meet upon project completion. The grantee's description of its standards must be in sufficient detail to determine the required rehabilitation work including methods and materials. The standards may refer to applicable codes or they may establish requirements

that exceed the minimum requirements of the codes. The grantee must attach its rehabilitation standards below.

In addition, the rehabilitation standards must address each of the following: health and safety; major systems; lead-based paint; accessibility; disaster mitigation (where relevant); state and local codes, ordinances, and zoning requirements; Uniform Physical Condition Standards; and Capital Needs Assessments (if applicable).

In addition, the rehabilitation standards must address each of the following: health and safety; major systems; lead-based paint; accessibility; disaster mitigation (where relevant); state and local codes, ordinances, and zoning requirements; Uniform Physical Condition Standards; and Capital Needs Assessments (if applicable).

ADFA has established Minimum Design Standards (MDS) for new construction and rehabilitation. The MDS standards are attached in the appendix.

9. Resale or Recapture Guidelines. Below, the grantee must enter (or attach) a description of the guidelines that will be used for resale or recapture of HTF funds when used to assist first-time homebuyers. If the grantee will not use HTF funds to assist first-time homebuyers, enter "N/A".

N/A

10. HTF Affordable Homeownership Limits. If the grantee intends to use HTF funds for homebuyer assistance and does not use the HTF affordable homeownership limits for the area provided by HUD, it must determine 95 percent of the median area purchase price and set forth the information in accordance with §93.305. If the grantee will not use HTF funds to assist first-time homebuyers, enter "N/A".

N/A

11. Grantee Limited Beneficiaries or Preferences. Describe how the grantee will limit the beneficiaries or give preferences to a particular segment of the extremely low- or very low-income population to serve unmet needs identified in its consolidated plan or annual action plan. If the grantee will not limit the beneficiaries or give preferences to a particular segment of the extremely low- or very low-income population, enter "N/A."

Any limitation or preference must not violate nondiscrimination requirements in § 93.350, and the grantee must not limit or give preferences to students. The grantee may permit rental housing owners to limit tenants or give a preference in accordance with § 93.303(d)(3) only if such limitation or preference is described in the action plan.

In accordance with the scoring matrix, ADFA will give preference to ELI Veterans.

12. Refinancing of Existing Debt. Enter or attach the grantee's refinancing guidelines below. The guidelines describe the conditions under which the grantee will refinance existing debt. The grantee's refinancing guidelines must, at minimum, demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing. If the grantee will not refinance existing debt, enter "N/A."

N/A

Discussion:

The State strives to meet all program specific requirements as detailed in the enabling legislation and program guidelines. State staff work with subgrantees to ensure that these requirements are met and oversees internal operations towards the same goal.

If HUD's future NHTF Allocation amounts are different than what has been planned for, ADFA will adjust the number of units to be built accordingly.

Appendix A

Consolidated Plan Public Hearing Minutes Proof of Publication 30-Day Comment Period

Consolidated Plan

Public Hearing – April 15, 2021

- Minutes
- Proof of Publication

30-Day Public Comment Period

Comment Period – April 5 – May 5, 2021

- No written comments were received

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**Minutes
2021 Annual Action Plan Public Hearing
Meeting Conducted by Webinar
April 15, 2021**

Jean Noble, Grants Division Director, Arkansas Economic Development Commission, thanked everyone for joining the Public Hearing pertaining to the 2021 Annual Update (held by way of a virtual webinar). Ms. Noble explained a notice of the meeting was advertised in the paper, a draft copy of the Consolidated Plan was posted on the AEDC website at www.arkansasedc.com/grants, and the 30-day comment period which began April 5, and runs until 4:30 p.m., May 5, 2021. Comments concerning the Plan were welcome and written comments would be accepted until the end of the comment period. The meeting began at 10:30 a.m. There were 19 people in attendance.

Community Development Block Grant Program (CDBG)

Jean Noble, Director, Grants Division, Arkansas Economic Development Commission, explained the Arkansas Community and Economic Development Grant Program (ACEDP) and the Community Development Block Grant (CDBG) program. CDBG funds are targeted to Arkansas's smaller cities and have traditionally been used for economic development, water/wastewater, public facilities and infrastructure, emergency/urgent need, and rural services set-asides. The expected allocation for 2021 is \$18,585,017.

The following represents the distribution of funds for 2021.

Economic Development	\$8,920,808	48%
General Assistance (bi-annual rounds)	7,506,659	40%
<i>Water/Wastewater (minimum \$)</i>	<i>4,646,254</i>	<i>25%</i>
<i>Public Facilities & Infrastructure</i>	<i>2,860,405</i>	<i>15%</i>
Emergency/Urgent Need (open cycle)	500,000	3%
Rural Services	1,000,000	6%
Admin & Technical Assistance (3% + 100,000)	657,550	3%
Total	\$18,585,017	100%

Economic Development set-aside: Eligible activities will generally include: grants or loans to for-profit businesses (through the applicant community) for a variety of business purposes; or public facilities (infrastructure) projects undertaken by applicant communities for economic development purposes, where a benefiting business agrees to locate or expand premised on the infrastructure improvements and agrees to create jobs for low- to moderate-income persons. Economic development commitments are made year-round. Successful applicants are invited to make a formal application to AEDC, the project is then administered by Grants Division. Applications are evaluated on (but not limited to): Cost benefit analysis; financial feasibility; credit worthiness; and creation or retention of jobs, 51% of which must be made available to LMI persons at the time of hire.

Rural Services set-aside: The AEDC Division of Rural Services awards community center, multi-purpose center, fire truck, and fire equipment projects through the Rural Services Block Grant Program (RSBGP) for communities of 3,000 persons or less, who are at least 51% LMI. Maximum Award is \$75,000 and require a 10% match. Examples of projects include - construction of a community center, convert an existing building into a new community center, renovation or construction of a fire station or substation,

purchase of a fire truck, purchase of fire-fighting equipment, protective gear worn by fire fighters or specialized lifesaving equipment.

General Assistance set-aside: The General Assistance set-aside provides funds for eligible public infrastructure and public facilities projects (except for those projects eligible under the Rural Services set-aside). Applications under this line item are submitted directly to the AEDC Grants Division. Activities submitted for funding must meet one of the national objectives, be an eligible CDBG activity, address one of the high priority goals in the CDBG Method of Distribution, as described in the current Consolidated Plan; and not be eligible for funding under another State CDBG funding program in the current Annual Action Plan. Eligible public facilities include: Senior centers, childcare centers, county public health units, youth centers, homeless shelters, accredited public libraries, food pantries, abused children's safety centers, centers for disabled adults and children. Public infrastructure projects include: Drainage and flood control, handicap accessible ramps and sidewalks, and/or water/wastewater new construction or rehabilitation. Each project is reviewed and scored through a selection criteria matrix. Points are awarded for project need, project impact, project readiness, citizen participation, funding leverage, and LMI benefit.

Minimum grant request is \$75,000 up to a maximum grant request of \$1,000,000 for certain types of projects. These include new water/sewer or extensions with new customers, up to \$1 million (cost per customer considered); water/wastewater rehabilitation and other public infrastructure, currently limited to \$300,000; public facilities, currently limited to \$300,000. Bi-annual application deadlines are March 30 and October 15.

Emergency/Urgent Need set-aside: CDBG funds will be used to assist units of local government with situations that pose a serious and immediate threat to public health, safety, or welfare. Priority is given to those projects that are meeting the Urgent Need national objective criteria but could qualify under LMI Benefit Area or Limited Clientele. Cities or counties must submit a pre-application to the WWAC (if a water or wastewater project) or should otherwise consult with the AEDC Grants Division before submitting a full application. Applications are accepted any time during the year.

In applying for an Urgent Need grant from the CDBG program, the city/county is certifying that the project primarily serves persons of low- to moderate-income, and the proposed project must alleviate existing conditions which pose a serious and immediate threat to the health and welfare of the community. The threat must be supported by either: A current declaration of an emergency by the Governor of Arkansas relative to a flood, hurricane, tornado, earthquake, or other disaster event, not including droughts, snow, or ice conditions; or a current declaration of an immediate and severe health threat by the Arkansas Department of Health, or Arkansas Department of Environmental Quality relative to the complete failure of a public water or sewer system or incident of similar significance.

HOME Investment Partnership Program (HOME)

Carol Leek, Arkansas Development Finance Authority (ADFA), gave an overview of the HOME Investment Partnership (HOME) program. The HOME program provides formula grants to states and localities that communities use, often in partnership with local nonprofit groups, to fund a wide range of activities

including building, buying, and/or rehabilitating affordable housing for rent or homeownership, or providing direct rental assistance to low-income people.

General purposes of the HOME program include: Expanding the supply of decent and affordable housing to lower-income households (Persons must be 80% or below of area median income (AMI) to qualify); strengthening the ability of state and local governments to provide housing; extending and strengthening partnerships among all levels of government and the private sector, including for-profit and nonprofit organizations in the production and operation of affordable housing. Eligible applicants include cities, counties, nonprofits and for-profit organizations.

Method of Distribution: ADFA receives HOME funds through a formula allocation from HUD. ADFA is the designated Participation Jurisdiction (PJ). ADFA has broad discretion in administering the HOME funds. ADFA may administer the funds directly or allocate to units of local government, for-profit entities and non-profit entities. Applications are accepted on a continual basis as per funding availability.

The 2021 HOME allocations priorities are: Rental Rehabilitation, 25%; Community Housing Development Organization (CHDO), 15%; Purchase Assistance, 10%; Tenant-based Rental Assistance (TBRA), 10%; Rental New Construction, 30%; and Administration, 10%.

ADFA has set the following parameter regarding the amount of HOME program funds that can be allocated per applicant. ADFA Board of Directors reserves the right to increase or decrease the maximum program allocation during the program year to meet HOME program requirements. Applicants must request a minimum of \$100,000 with the exception of TBRA. Maximum amount that can be requested is \$450,000, and \$900,000 for a CHDO application, maximum for TBRA is \$225,000. Applicants may be awarded a maximum of three (3) HOME projects, per housing activity per HOME fiscal year (July 1 to June 30).

Tenant-Based Rental Assistance (TBRA) is a rental subsidy program designed to help an eligible tenant with rent and utility deposits. The TBRA program directly assists individual households (rather than providing subsidies to projects) to make housing affordable. The assistance must be tenant-based and not project based. TBRA funds must be used to serve low-income individuals. Income requirements are more stringent than requirements for other HOME activities.

National Housing Trust Fund Program

Carol Leek, ADFA, presented the National Housing Trust Fund (NHTF or HTF) program. The NHTF is a permanent federal program with dedicated source(s) of funding not subject to the annual appropriation process. By law, 80% of HTF dollars must be used for the production, preservation, rehabilitation, and operation of rental housing. Up to 10% may be used to support homeownership activities. ADFA did not elect to use HTF funds for homeownership activities. ADFA dedicates 90% of the allocation for rental and 10% for Administration. ADFA receives an annual allocation of \$3 million, and to date, has received \$12 million, with \$4.4 million anticipated to be received in 2021.

The purpose of the NHTF is to increase and preserve the supply of rental housing for Extremely Low-income (ELI) (30% or less) and Very Low-income (VLI) (50% or less) households. By law, 75% of NHTF

dollars used to support rental housing must serve ELI households earning no more than 30% of the Area Median Income (AMI) or the federal poverty limit. ADFA elected to serve only ELI households.

Eligible activities must comply with the HUD requirements of 24 CFR Parts 93.200, 93.201 and 93.301. Under 24 CFR 93.200, HTF funds may be used for the production of affordable housing and rental housing. Under 24 CFR 93.201, HTF funds may be used to pay eligible costs, such as development hard costs, refinancing costs, acquisition costs, and related costs. Under 24 CFR 93.301, new construction and rehabilitation projects must meet all applicable state and local codes, ordinances, zoning requirements and ADFA's Minimum Design Standards (MDS). ADFA permits and encourages applicants to exceed these MDS project standards.

Method of Distribution: NHTF plans ensure that, where economically feasible, applicants will have an opportunity to compete for funding to address their unmet rental housing needs. Geographic Diversity- ADFA anticipates allocating available funds to expand the ELI overall rental housing supply located throughout Arkansas. Applicant Capacity- great consideration will be given to ability of applicant to undertake and complete the proposed type of housing in a timely manner. Applicant must have the financial capacity to complete the proposed development and must provide a list of an experienced development team. Rental Assistance- due to the targeted population of ELI, the ability to secure rental assistance so that rents are affordable to ELI families. Affordability- all rental units must have an affordability period of a minimum of thirty (30) years. More information can be found on ADFA's website <http://adfa.arkansas.gov>.

Emergency Solutions Grant Program

Lorie Williams, Assistant Director of the Division of County Operations Office of Community Services, Department of Human Services. Ms. Williams gave an overview of the Emergency Solutions Grant (ESG) program.

The proposed 2021 funding for fiscal year (FY) 2021 (which runs October 2021 – September 2022) is \$2,261,347. Any remaining funds from prior years will be reallocated to that amount upon HUD approval.

Funding allocations for FY 2021:

- Administration (7.5% - 2.5% to agencies).
- HMIS - \$1000
- Administration - \$500 (Contingent on the number of approved agencies)
- Emergency Shelter - (45%) \$1,017,606.15
- Homeless Prevention - (23%) \$520,109.81
- Rapid Re-housing - (24%) \$542,723.28
- Street Outreach - (.50%) \$11,306.73

Allocation of funds are based on which programs the agencies apply for, comments received about the different components, and spending. The amount of allocations could change from year to year based on need.

ESG Components:

Street Outreach - agencies that go out into the streets to provide assistance and help those people find shelter or a place of residence.

Shelter - emergency shelter, people living in shelters working with case management who are working to get those people into their place.

Rapid Re-housing - for individuals on the street or who have been evicted. Agents work with those individuals to get them back into an apartment.

Homeless Prevention (30% income limit) - individuals who have been given an eviction notice, agencies work with them to prevent that.

Administration - processing request for payment and other administrative needs.

Match Requirement - there is a match requirement for each agency that applies.

Decisions on current applications will be made in July and all agencies will be notified. ESG programs are monitored by OCS staff visits, and OCS uses a monitoring tool.

Housing Opportunities for Persons with AIDS Program

Kochia Watson, HOPWA Coordinator, Arkansas Department of Health, explained the purpose of the Housing Opportunities for Persons with AIDS (HOPWA) program is to provide states and localities with resources and incentives to provide safe, decent, and sanitary affordable housing while creating and maintaining a suitable living environment for low-income persons living with HIV/AIDS and their families.

As of December 31, 2017, there were 6,087 persons living with HIV in Arkansas. In 2019, Arkansas was ranked among seven states with high HIV incidence. The number of persons living with HIV/AIDS (PLWHA) needing housing assistance was approximately 150 or greater. Non-HIV family persons benefiting from housing assistance was approximately 90.

The State HOPWA program works to provide safe, decent, and sanitary affordable housing; increase housing stability and access to services and care; and decrease the risk of homelessness. HOPWA clients include men and women living with HIV/AIDS, some are families with children, all have demonstrated a housing need and have a current notice of eligibility after screening. Many clients face competing demands to getting basic needs met such as housing, food, and childcare.

The HOPWA grant for State of Arkansas is administered by the Arkansas Department of Health (ADH). The grant is Formula-based: calculated based on HIV/AIDS surveillance data (cumulative AIDS cases and area incidence). Funding provides the state with resources for meeting the housing needs of low-income persons living with HIV/AIDS and their families.

Arkansas provides services in 74 of its 75 counties. Crittenden County in Northeast Arkansas is covered as part of the Memphis Eligible Metropolitan Statistical Area (EMSA). ADH, as Grantee, administers HOPWA activities in 68 counties: Districts 1, 2, 3, 4, and 6 as outlined on the map. District 5 is a separate grant administered by the City of Little Rock, which includes six counties. ADH administers HOPWA

through non-profit agencies called Project Sponsors. Currently there is one, Northeast Arkansas Regional AIDS Network (NARAN).

Services description and categories:

I. Administration:

Grantee - 3% of Federal award.

Project Sponsors - 7% of subgrant award, for management, oversight, coordination, evaluation, reporting, etc.

II. Direct Housing Services: 63%

(i) Tenant-Based Rental Assistance (TBRA): housing subsidy provided for use on the open rental market.

(ii) Short-Term Rent, Mortgage, and Utility Assistance (STRMU): prevents homelessness of mortgage holder or renters already housed; allows assistance for up to 21 weeks in any 52 week period.

(iii) Facility-Based Rental Assistance (FBRA) (new activity). Hotel/motel voucher assistance for up to 60 days within any 6-month period, where rental housing is identified but not immediately available for move-in by approved clients. This is a case-by-case needs-based assistance to non-ADH jurisdictions upon request.

(iv) Permanent Housing Placement (PHP): assistance with move-in costs - pays up to two months of rent, one-time security, and one-time utility deposit.

III. Supportive Services: 27%

Housing case management, care coordination, home and health assessments, etc.

Expanded supportive services for 2021-2022 include: Substance abuse treatment, short term for 120 days; basic telephone service, intended to assist the beneficiary in accessing services; childcare, does not include childcare while beneficiary is at work; transportation, to assist beneficiary access health care services or housing; meals/nutritional services, actual meals or nutritional supplements; essential hygiene items and household cleaning supplies; and life skills management, psychosocial and interpersonal skills, anger management and conflict resolution, communication, budgeting and money management, etc.

Method of Distribution:

Grantee Administration - \$30,929.00 (3%)

Project Sponsor Administration - \$72,167.00 (7%)

TBRA & STRMU - \$570,500.00 (55%)

PHP - \$59,000.00 (6%)

FBRA - \$20,000.00 (2%)

Support Services - \$278,357.00 (27%)

Program goals, to provide housing assistance and appropriate supportive services to enable clients to remain in their homes and to reduce their risks of homelessness; and improve access to health care and other supportive services.

Minutes: 2021 APP Public Hearing
April 15, 2021
Page 7

Major barriers to accessing affordable, stable housing include criminal justice history, rental history, credit history, housing availability, housing affordability, multiple diagnoses/mental health issues, HOPWA/HUD regulations, rent determination and fair market rents.

Closing Remarks

Jean Noble thanked everyone for participating in the public hearing opened the meeting for public comments. Ms. Noble reminded everyone the public comment period is open until May 5, 2021, and written comments would be accepted until then. She also stated to feel free to contact any of the program directors with any questions, comments, or concerns regarding the 2021 Action Plan.

Comments from the Public Hearing

No comments were made.

With no further business the Public Hearing was closed at 11:25 a.m.

Arkansas Democrat Gazette

STATEMENT OF LEGAL ADVERTISING

AR ECONOMIC DEVELOPMENT COMMISS
 1 COMMERCE 601 WAY
 LITTLE ROCK AR 72202

REMIT TO:
 ARKANSAS DEMOCRAT-GAZETTE, INC.
 P.O. BOX 2221
 LITTLE ROCK, AR 72203

ATTN: Dottie Boyles
 DATE : 04/04/21 INVOICE #: 3242933
 ACCT #: L6014001 P.O. #:

BILLING QUESTIONS CALL 378-3873

STATE OF ARKANSAS,)
 COUNTY OF PULASKI,) ss.

ADCOPY

I, Charles A McNeice Jr, do solemnly swear that I am the Business Manager of the Arkansas Democrat-Gazette, a daily newspaper printed and published in said County, State of Arkansas; that I was so related to this publication at and during the publication of the annexed legal advertisement the matter of:

Hearing
 pending in the Court, in said County, and at the dates of the several publications of said advertisement stated below, and that during said periods and at said dates, said newspaper was printed and had a bona fide circulation in said County; that said newspaper had been regularly printed and published in said County, and had a bona fide circulation therein for the period of one month before the date of the first publication of said advertisement; and that said advertisement was published in the regular daily issues of said newspaper as stated below.

DATE	DAY	LINAGE	RATE	DATE	DAY	LINAGE	RATE
04/04	Sun	121	1.57				

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 AEDC BUDGET

TOTAL COST ----- 189.97
 Billing Ad #: 75401237

Charles A McNeice Jr
 Subscribe and sworn to me this 5
 day of April, 2021
Deanna Griffin
Notary Public

OFFICIAL SEAL - #12347408
DEANNA GRIFFIN
 NOTARY PUBLIC-ARKANSAS
 PULASKI COUNTY
 MY COMMISSION EXPIRES: 03-30-26

Arkansas Democrat Gazette

STATEMENT OF LEGAL ADVERTISING
THIS PAGE USED FOR ADDITIONAL AD COPY SPACE AS NEEDED.
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LEGAL NOTICE
NOTICE OF PUBLIC HEARING AND PUBLIC COMMENT PERIOD ON THE 2021 ACTION PLAN FOR THE STATE OF ARKANSAS, ADMINISTRATION OF THE COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM, THE HOME INVESTMENT PARTNERSHIP PROGRAM, THE NATIONAL HOUSING TRUST FUND, THE EMERGENCY SOLUTIONS GRANT PROGRAM AND THE HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS PROGRAM.

The State of Arkansas anticipates receiving approximately \$32 million in grant funds from the U.S. Department of Housing and Urban Development (HUD) during the 2021 program year. The amount includes funding for the Community Development Block Grant Program (CDBG), to be administered by the Arkansas Economic Development Commission (AEDC); the HOME Investment Partnership Program (HOME) and the National Housing Trust Fund (NHTF), to be administered by the Arkansas Housing Finance Authority (AHA); the Emergency Solutions Grants Program (ESG), to be administered by the Arkansas Department of Human Services (DHS); and Housing Opportunities for Persons with AIDS Program (HOPWA), to be administered by the Arkansas Department of Health (ADH).

The State has completed a draft of the Consolidated Plan for the 2021 Program Year and hereby presents the draft for public review and comment. The comment period will extend for 30 days beginning on April 5 and will end at 4:00 p.m. on May 5, 2021. Public comments will be considered in the final draft of the Consolidated Plan, which will be submitted to the U.S. Department of Housing and Urban Development on May 14, 2021. To be considered in the final plan, written comments must be received during the 30-day public comment period.

A draft of the Consolidated Plan and Action Plan may be viewed at:

www.arkansas.gov/ports

A virtual public hearing to obtain citizen comments on the draft 2021 Annual Action Plan will be held Thursday, April 15th, from 10:30 a.m. to 12:00 p.m.

Date: April 15, 2021 Location: Virtual Time: 10:30 a.m. - 12:00 p.m. Platform: Zoom Meeting ID: 825 3032 8571 Meeting Link: <https://arkansas.gov/zoom/join/82530328571?pwd=QWpMZWp5eUJzOEZERT>

If you are unable to attend the meeting, written comments may be submitted to: Arkansas Economic Development Commission, Grants Division, 1 Commerce Way, Ste. 501, Little Rock, AR 72202, or by email to Jean Noble, Plan Coordinator, at jean@arkansasEDC.com. The deadline to submit comments at the above address is 4:00 p.m. on May 5, 2021.

Persons seeking interviews or other accommodations are requested to telephone the Arkansas Economic Development Commission at 501-662-7100 so arrangements may be made in advance.

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Appendix B

State Certifications

Grantee SF-424's and Certification(s)

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Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
---	---	--

* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text"/>
--	---

5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: B-21-DC-05-0001
---	---

State Use Only:

6. Date Received by State: <input type="text"/>	7. State Application Identifier: B-21-DC-05-0001
--	---

8. APPLICANT INFORMATION:

* a. Legal Name: State of Arkansas	
* b. Employer/Taxpayer Identification Number (EIN/TIN): 71-0847443	* c. Organizational DUNS: 0247209010000

d. Address:

* Street1:	1 Commerce Way, Suite 601
Street2:	
* City:	Little Rock
County/Parish:	Pulaski
* State:	AR: Arkansas
Province:	
* Country:	USA: UNITED STATES
* Zip / Postal Code:	72202-2089

e. Organizational Unit:

Department Name: AR Economic Development Comm.	Division Name: Grants Division
--	--

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Ms.	* First Name: Jean
Middle Name:	
* Last Name: Noble	
Suffix:	

Title: Grants Division Director
--

Organizational Affiliation: Grants Division, Arkansas Economic Development Commission

* Telephone Number: 501-682-7389	Fax Number: 501-682-7499
---	---------------------------------

* Email: JNoble@ArkansasEDC.com
--

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14-228

CFDA Title:

State Community Development Block Grant (CDBG) Program

*** 12. Funding Opportunity Number:**

B-21-DC-05-0001

* Title:

State of Arkansas 2021 Annual Action Plan to the 2020-2024 5-Year Consolidated Plan.

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

CDBG - Economic Development, Public Facility or Infrastructure, Fire Protection, and Grant Administration

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="18,858,821.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="2,000,000.00"/>
* g. TOTAL	<input type="text" value="20,858,821.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.


Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: * 

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

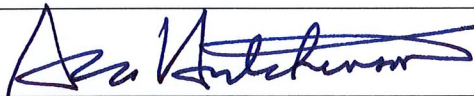
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL <input type="checkbox"/> 	TITLE <input type="text" value="Governor of Arkansas"/>
APPLICANT ORGANIZATION <input type="text" value="for Arkansas Economic Development Commission"/>	DATE SUBMITTED <input type="text" value="7-20-2021"/> <input type="checkbox"/>

STATE CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the State certifies that:

Affirmatively Further Fair Housing -- The State will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

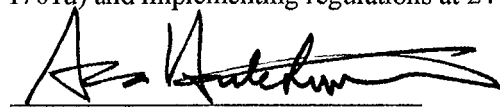
Anti-Lobbying --To the best of the State's knowledge and belief:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
- 3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of State -- The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the State's consolidated plan.

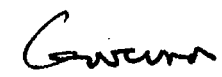
Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.



Signature of Authorized Official

8/30/2021

Date



Title

Specific Community Development Block Grant Certifications

The State certifies that:

Citizen Participation -- It is following a detailed citizen participation plan that satisfies the requirements of 24 CFR §91.115 and each unit of general local government that receives assistance from the State is following a detailed citizen participation plan that satisfies the requirements of 24 CFR §570.486.

Consultation with Local Governments --

1. It has consulted with affected units of local government in the nonentitlement area of the State in determining the method of distribution of funding;
2. It engages in or will engage in planning for community development activities;
3. It provides or will provide technical assistance to units of local government in connection with community development programs; and
4. It will not refuse to distribute funds to any unit of general local government on the basis of the particular eligible activity selected by the unit of general local government to meet its community development needs, except that a State is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

Local Needs Identification -- It will require each unit of general local government to be funded to identify its community development and housing needs, including the needs of low-income and moderate-income families, and the activities to be undertaken to meet these needs.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available.

2. Overall Benefit. In the aggregate, not less than 70 percent of the CDBG funds, including Section 108 guaranteed loans, received by the State during the following fiscal year(s) 2020-2022 [a period designated by the State of one, two, or three specific consecutive fiscal year(s)] will be used for activities that benefit persons of low and moderate income.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG Funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

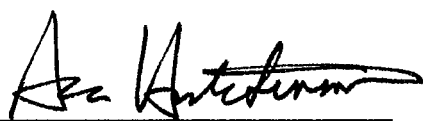
In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It will require units of general local government that receive CDBG funds to certify that they have adopted and are enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.


Compliance with Laws -- It will comply with applicable laws.



Signature of Authorized Official

8/30/2021

Date



Title

Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
--	--	--

* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text"/>
---	--

5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: M-21-SG-05-0100
--	--

State Use Only:

6. Date Received by State: <input type="text"/>	7. State Application Identifier: M-21-SG-05-0100
---	--

8. APPLICANT INFORMATION:

* a. Legal Name: State of Arkansas	
* b. Employer/Taxpayer Identification Number (EIN/TIN): 71-0847443	* c. Organizational DUNS: 0247209010000

d. Address:

* Street1: 1 Commerce Way, Suite 602
Street2: <input type="text"/>
* City: Little Rock
County/Parish: Pulaski
* State: AR: Arkansas
Province: <input type="text"/>
* Country: USA: UNITED STATES
* Zip / Postal Code: 72202-2089

e. Organizational Unit:

Department Name: AR Dev. Finance Authority	Division Name: Federal Housing Program
--	--

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: MS.	* First Name: Lori
Middle Name: <input type="text"/>	
* Last Name: Brockway	
Suffix: <input type="text"/>	
Title: Federal Housing Program Manager	
Organizational Affiliation: Arkansas Development Finance Authority	
* Telephone Number: 501-682-3339	Fax Number: 501-682-5859
* Email: lori.brockway@arkansas.gov	

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14-239

CFDA Title:

HOME Investment Partnerships

*** 12. Funding Opportunity Number:**

M-21-SG-05-0100

* Title:

State of Arkansas 2021 Annual Action Plan to the 2020-2024 5-Year Consolidated Plan.

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

HOME - CHDO, Affordable Housing, Financial Assistance, Rental Construction and Rehabilitation, Tenant-based Rental Assistance, and Grant Administration

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="10,125,629.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="9,419,607.00"/>
* g. TOTAL	<input type="text" value="19,545,236.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes
- No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: * 

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL *	TITLE Governor of Arkansas
APPLICANT ORGANIZATION for Arkansas Development Finance Authority - HOME	DATE SUBMITTED 7-20-2021 *

Specific HOME Certifications

The State certifies that:

Tenant Based Rental Assistance -- If it plans to use HOME funds for tenant-based rental assistance, tenant-based rental assistance is an essential element of the State's consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §92.205 through §92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy Layering -- Before committing any funds to a project, the State or its recipients will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.



Signature of Authorized Official

8/30/2021
Date

Governor

Title

Application for Federal Assistance SF-424*** 1. Type of Submission:**

- Preapplication
 Application
 Changed/Corrected Application

*** 2. Type of Application:**

- New
 Continuation
 Revision

*** If Revision, select appropriate letter(s):**

*** Other (Specify):**

*** 3. Date Received:**

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:**6. Date Received by State:**

7. State Application Identifier:

8. APPLICANT INFORMATION:*** a. Legal Name:**

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

*** c. Organizational DUNS:**

d. Address:*** Street1:**

Street2:

*** City:**

County/Parish:

*** State:**

Province:

*** Country:**

*** Zip / Postal Code:**

e. Organizational Unit:**Department Name:**

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:**Prefix:**

*** First Name:**

Middle Name:

*** Last Name:**

Suffix:

Title:

Organizational Affiliation:

*** Telephone Number:**

Fax Number:

*** Email:**

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14-231

CFDA Title:

Emergency Solutions Grant

*** 12. Funding Opportunity Number:**

E-21-DC-05-0001

* Title:

State of Arkansas 2021 Annual Action Plan to the 2020-2024 5-Year Consolidated Plan.

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

ESG - Shelter and Homelessness Prevention Assistance, Rapid Rehousing, HMIS and Grant Administration

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="2,261,347.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="300,000.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="2,561,347.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: * 

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.


PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Governor of Arkansas
APPLICANT ORGANIZATION for Arkansas Department of Human Services	DATE SUBMITTED 7-20-2021

Emergency Solutions Grant Certifications

Each State that seeks funding under the Emergency Solutions Grants Program must provide the following certifications:

Matching Funds – The State will obtain any matching amounts required under 24 CFR 576.201 in a manner so that its subrecipients that are least capable of providing matching amounts receive the benefit of the exception under 24 CFR 576.201(a)(2).

Discharge Policy – The State will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Confidentiality – The State will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

The State will ensure that its subrecipients comply with the following criteria:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.


Essential Services and Operating Costs – If ESG funds are used for shelter operations or essential services related to street outreach or emergency shelter, the subrecipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the applicant serves the same type of persons (e.g., families with children, unaccompanied youth, veterans, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The subrecipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for such individuals.

Homeless Persons Involvement – To the maximum extent practicable, the subrecipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under ESG, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted ESG.

Consolidated Plan – All activities the subrecipient undertakes with assistance under ESG are consistent with the State’s current HUD-approved consolidated plan.



Signature of Authorized Official

8/30/2021

Date



Title

Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- Preapplication
 Application
 Changed/Corrected Application

*** 2. Type of Application:**

- New
 Continuation
 Revision

*** If Revision, select appropriate letter(s):**

*** Other (Specify):**

*** 3. Date Received:**

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

ARH-21-F999

State Use Only:

6. Date Received by State:

7. State Application Identifier:

ARH-21-F999

8. APPLICANT INFORMATION:

*** a. Legal Name:**

State of Arkansas

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

71-0847443

*** c. Organizational DUNS:**

0247209010000

d. Address:

*** Street1:**

4815 West Markham St., Slot 33

Street2:

*** City:**

Little Rock

County/Parish:

Pulaski

*** State:**

AR: Arkansas

Province:

*** Country:**

USA: UNITED STATES

*** Zip / Postal Code:**

72205-3866

e. Organizational Unit:

Department Name:

AR Department of Health

Division Name:

Infectious Disease Branch

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Dr.

*** First Name:**

Charles

Middle Name:

*** Last Name:**

Bedell

Suffix:

Title:

AR Ryan White Program Manager

Organizational Affiliation:

State Government

*** Telephone Number:**

501-661-2433

Fax Number:

501-661-2082

*** Email:**

charles.bedell@arkansas.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14-241

CFDA Title:

Housing Opportunities for Persons With Aids

*** 12. Funding Opportunity Number:**

ARRH-21-F999

* Title:

State of Arkansas 2021 Annual Action Plan to the 2020-2024 5-Year Consolidated Plan.

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

HOPWA - Tenant-based Rental Assistance, Rapid Rehousing, Public Service Activities, and Grant Administration

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="1,030,952.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="1,030,952.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes
- No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.


PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Governor of Arkansas
APPLICANT ORGANIZATION for Arkansas Department of Health	DATE SUBMITTED 7-20-2021

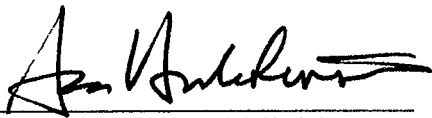
Housing Opportunities for Persons With AIDS Certifications

The State HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

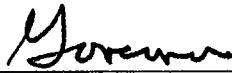
Building -- Any building or structure assisted under the program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years, in the case of any building or structure purchased, leased, rehabilitated, renovated, or converted with HOPWA assistance,
2. For a period of not less than 3 years, in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.



Signature of Authorized Official

8/30/2021
Date



Title

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: F-21-SG-05-0100	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: F-21-SG-05-0100	
8. APPLICANT INFORMATION:		
* a. Legal Name: State of Arkansas		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 71-0847443	* c. Organizational DUNS: 0247209010000	
d. Address:		
* Street1: 1 Commerce Way, Suite 602	<input type="text"/>	
Street2:	<input type="text"/>	
* City: Little Rock	<input type="text"/>	
County/Parish: Pulaski	<input type="text"/>	
* State: AR: Arkansas	<input type="text"/>	
Province:	<input type="text"/>	
* Country: USA: UNITED STATES	<input type="text"/>	
* Zip / Postal Code: 72202-2089	<input type="text"/>	
e. Organizational Unit:		
Department Name: AR Dev. Finance Authority	Division Name: Housing Trust Fund	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: Ms.	* First Name: Lori	
Middle Name:	<input type="text"/>	
* Last Name: Brockway	<input type="text"/>	
Suffix:	<input type="text"/>	
Title: Federal Housing Program Manager		
Organizational Affiliation: Arkansas Development Finance Authority		
* Telephone Number: 501-682-3339	Fax Number: 501-682-5859	
* Email: lori.brockway@arkansas.gov		

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14-275

CFDA Title:

Housing Trust Fund

*** 12. Funding Opportunity Number:**

F-21-SG-05-0100

* Title:

State of Arkansas 2021 Annual Action Plan to the 2020-2024 5-Year Consolidated Plan.

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

HTF - Homelessness Prevention, Permanent Housing, and Grant Administration

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="4,123,109.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="5,024,439.00"/>
* g. TOTAL	<input type="text" value="9,147,548.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.


Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: * 

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

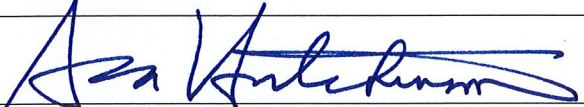
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

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As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
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8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL * 	TITLE Governor of Arkansas
APPLICANT ORGANIZATION for Arkansas Development Finance Authority - HTF	DATE SUBMITTED 7-26-2021 *

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

HUD-424-M Funding Matrix

The applicant must provide the funding matrix shown below, listing each program or program component for which HUD funding is being requested and submit this information with the application for federal financial assistance.

Grant Program*	HUD Share	Matching Funds	Other HUD Funds	Other Federal Share	State Share	Local/Tribal Share	Other Funds	Program Income	Total
CDBG	18,858,821							\$2,000,000	\$20,858,821
HOME	10,125,629							\$9,419,607	\$19,545,236
ESG	2,261,347						\$300,000		\$2,561,347
HOPWA	1,030,952								\$1,030,952
HTF	4,123,109						5,024,439		\$9,147,548
Grand Totals	\$36,399,858						\$5,324,439	\$11,419,607	\$53,143,904